



Fiscal Year 2011 Comprehensive Oversight Plan for Southwest Asia and Surrounding Areas

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<http://www.oig.state.gov/>

Inspector General, U.S. Agency for International Development

(202) 712-1020

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U.S. Government Accountability Office

(202) 512-8974

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Defense Contract Audit Agency

(703) 767-2236

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FOREWORD

The participating DoD and Federal oversight agencies are pleased to present the FY 2011 Comprehensive Oversight Plan for Southwest Asia.

The Southwest Asia Joint Planning Group facilitates the compilation and issuance of the Comprehensive Oversight Plan for Southwest Asia (COPSWA) in response to the Public Law 110-181, “The National Defense Authorization Act for Fiscal Year 2008,” January 28, 2008. This plan incorporates the planned and ongoing oversight of the Inspectors General of the Department of Defense, Department of State, and the U.S. Agency for International Development; the Special Inspectors General for Iraq Reconstruction and Afghanistan Reconstruction; the Army Audit Agency; the Naval Audit Service; and the Air Force Audit Agency. Additionally, the FY 2011 update includes the Government Accountability Office ongoing oversight efforts related to Southwest Asia. We also include information on the Defense Contract Audit Agency activities related to Southwest Asia in Appendix B.

The FY 2011 edition is organized by primary oversight countries: Afghanistan, Iraq, Pakistan, Kuwait, Qatar, and all other countries. Within each country, the COPSWA is organized by subcategories under functional areas to articulate the commonalities of the oversight community efforts. The COPSWA includes oversight projects that directly impact efforts in Southwest Asia and surrounding areas. The projects may be conducted exclusively in theater, require travel into theater, or be conducted outside the theater such as solely in CONUS. More information about the functional areas and related subcategories can be found in Appendix D.

REQUIRED OVERSIGHT. The Comprehensive Oversight Plan for Southwest Asia was first issued in June 2008 (and each year since) in accordance with the requirements of Public Law 110-181, “The National Defense Authorization Act for Fiscal Year 2008,” Section 842, “Investigation of Waste, Fraud, and Abuse in Wartime Contracts and Contracting Processes in Iraq and Afghanistan,” January 28, 2008. Further information on Section 842 can be found in Appendix D. In May 2009, we started to include additional oversight requirements as directed in Public Law 110-417, “The National Defense Authorization Act for Fiscal Year 2009,” Section 852, “Comprehensive Audit of Spare Parts, Purchases, and Depot Overhaul and Maintenance of Equipment For Operations in Iraq and Afghanistan” (see Appendix C). Further information on Section 852 can be found in Appendix D.

EXPANSION OF THE OVERSIGHT PLAN TO INCLUDE ADDITIONAL AREAS SUPPORTING THE U.S. MISSION IN SOUTHWEST ASIA. We expanded this oversight plan beyond the statutory mandate to show all of the audit, inspection, and evaluation work (oversight) for Iraq and Afghanistan, and the rest of the U.S. Central Command's area of responsibility. In addition to the audits of contracts, subcontracts, and task and delivery orders for logistical support being conducted, this Comprehensive Plan addresses other oversight areas, including asset accountability, financial management, security, human rights, rule of law, and economical and social development.

Our agencies continue to work together through the Southwest Asia Joint Planning Group to coordinate and provide effective oversight of the U.S.-led efforts in Southwest Asia. We also continue to coordinate oversight plans through improved communications among the members on a regular basis and on individual projects as they are proposed throughout the year. We will update this plan in FY 2012.

On behalf of the contributing members:



Joseph T. McDermott
Special Deputy Inspector General
for Southwest Asia
and
Chairperson
Southwest Asia Joint Planning Group

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Acronyms:

AAA	Army Audit Agency
AFAA	Air Force Audit Agency
AFCEE	Air Force Center for Engineering and the Environment
AFCENT	United States Air Forces Central
ANSF	Afghan National Security Forces
CONUS	Continental United States
COPSWA	Comprehensive Oversight Plan for Southwest Asia
DCAA	Defense Contract Audit Agency
DoD IG	Department of Defense Inspector General
DoS OIG	Department of State Office of Inspector General
FY	Fiscal Year
GAO	Government Accountability Office
LOGCAP	Logistics Civil Augmentation Program
MC	Multiple Countries
NATO	North Atlantic Treaty Organization
NAVAUDSVC	Naval Audit Service
NTMA/CSTC-A	North Atlantic Treaty Organization Training Mission - Afghanistan/Combined Security Transition Command - Afghanistan
RC	Regional Command
SIGAR	Special Inspector General for Afghanistan Reconstruction
SIGIR	Special Inspector General for Iraq Reconstruction
SWA	Southwest Asia
TDY	Temporary Duty
USAID OIG	United States Agency for International Development, Office of Inspector General

FACTS AND FIGURES

BREAKOUT OF FY 2011 ONGOING AND PLANNED OVERSIGHT IN SWA AND SURROUNDING AREAS BY ORGANIZATION

ORGANIZATION	ONGOING	PLANNED
AAA	23	14
AFAA	11	1
DoD IG	49	25
DoS OIG	15	6
GAO	18	0
NAVAUDSVC	4	8
SIGAR	16	24
SIGIR	13	2
USAID OIG	18	32

BREAKDOWN OF FY 2011 OVERSIGHT IN SWA AND SURROUNDING AREAS BY COUNTRY

COUNTRY	HOW MANY PROJECTS IMPACT THE COUNTRY
Afghanistan	152
Iraq	82
Pakistan	19
Kuwait	18
Qatar	12
All Other Countries	45

AFGHANISTAN

Accountability and Maintenance of Equipment

ONGOING

PROJECT	AGENCY	START	FINAL
Night Vision Equipment Management (F2010-FD3000-0034). Objective: Determine whether Air Force personnel effectively manage night vision equipment. Specifically, determine whether Air Force personnel (a) accurately determine night vision equipment requirements and correctly maintain associated authorizations to meet anticipated mission needs, and (b) properly account for on-hand night vision equipment assets. (MC)	AFAA	Mar-10	Feb-11
Small Arms and Light Weapons Management (F2010-FC4000-0028). Objective: Assess if property custodians properly manage small arms and light weapons. Specifically, assess if custodians accurately account for items, promptly report on-hand discrepancies, adequately store and protect items, and appropriately deploy small arms and weapons. (MC)	AFAA	Jul-10	Jul-11
Aircraft Fuels Servicing Equipment (F2010-FC4000-0033). Objective: Determine whether aircraft fuels servicing equipment is effectively managed. Specifically, evaluate whether personnel properly identify and support refueling truck and support equipment requirements, and accurately account for these items. (MC)	AFAA	Aug-10	Aug-11
Bulk Fuel Operations in Afghanistan (A-2011-ALL-0098.000). Objective: Did Class III bulk fuel points in Afghanistan have sufficient controls over storage and distribution operations to ensure the accurate accountability of fuel products and prevent the unauthorized diversion of fuel?	AAA	Oct-10	May-11

(MC) = project impacts Multiple Countries

PROJECT	AGENCY	START	FINAL
Technical Order Management (F2011-FC2000-0025). Objective: Evaluate whether Air Force personnel effectively manage Technical Orders (TOs) and Time Compliance Technical Orders (TCTOs) in Southwest Asia. Specifically, determine if personnel effectively distribute Technical Orders and TCTOs and properly implement support equipment and parts TCTOs. [TDY footprint in AOR Jun - Aug 2011] (MC)	AFAA	Feb-11	Dec-11

(MC) = project impacts Multiple Countries

Agriculture & Counternarcotics

ONGOING

PROJECT	AGENCY	START	FINAL
U.S. and International donor programs to assist Afghanistan's agriculture sector. Objective: Conduct an overall assessment of U.S. and international programs to assist Afghanistan's agriculture sector. Identify the resources provided and assess the performance and sustainment of assistance programs to the agricultural sector.	SIGAR	Oct-10	Jun-11

Anticorruption

ONGOING

PROJECT	AGENCY	START	FINAL
Review of U.S. Assistance Program to the Major Crimes Task Force (MCTF). Objective: Identify U.S. and other donor assistance and determine the effectiveness of that assistance and accountability for that assistance.	SIGAR	Aug-10	Mar-11

PROJECT	AGENCY	START	FINAL
Case Study Review of Provincial-Level Anti-Corruption and Accountability Efforts - 4 USAID/State sub-national Programs Objective: Series of audits that will address funding, accountability, and capacity issues in select Afghan provinces. Case study audits of provincial level anti-corruption will lead to capping reports that will draw lessons learned across provinces (by RC). Work will identify the purpose, amounts, and sources of funding for specific province (donor and Afghan); assess steps taken by the U.S. Government to ensure that reconstruction funds provided by the United States are used only for intended purposes according to national and provincial priorities; and determine the extent to which the provincial government and line directorates are able to ensure that funds are properly protected from misuse, and used only for intended purposes according to national and provincial priorities.	SIGAR	Nov-10	Jun-11
Review of Use and Accountability for US Funds to the Afghanistan Reconstruction Trust Fund. Objective: Assess the effectiveness and accountability for ARTF funds, totaling about \$441 million provided by the United States and determine the extent to which these funds are used for intended purposes and priorities.	SIGAR	Nov-10	Apr-11

Contract Management

ONGOING

PROJECT	AGENCY	START	FINAL
Deployment of the Standard Procurement System in the Joint Contracting Command Iraq/Afghanistan (D2009-D000FB-0112.000). Objective: Determine whether the deployment of the Standard Procurement System to include the Standard Procurement System Contingency in the Joint Contracting Command Iraq/Afghanistan, was properly planned and executed. This is the second in the series. (MC)	DoD IG	Feb-09	Apr-11

(MC) = project impacts Multiple Countries

PROJECT	AGENCY	START	FINAL
Quality Assurance Over Maintenance Contracts (F2010-FC2000-0021). Objective: Determine whether Air Force officials established adequate controls for managing selected maintenance contracts. Specifically, determine if Air Force personnel (a) effectively monitored contract performance, (b) properly documented maintenance actions, and (c) accurately tracked aircraft flying hours.	AFAA	Jan-10	Feb-11
Requirements Determination and Validation Processes for LOGCAP IV in Afghanistan (A-2010-ALL-0421.000). Objective: Determine if the requirements determination and validation processes for the LOGCAP IV contract are in place and operating as intended.	AAA	Mar-10	May-11
DOD Vetting in Afghanistan (351489) In an effort to build economic capacity in Afghanistan, the U.S. through DOD established a program to award contracts to Afghan firms. DOD also contracts with Pakistani firms to provide supplies needed in Afghanistan. There are currently over 112,000 DOD contractors in Afghanistan supporting military operations. KEY QUESTIONS: Determine the extent (1) that DOD has developed and implemented a process to vet Afghan and Pakistani firms; (2) that information on Afghanistan and Pakistan firms is shared between DOD, State, USAID, NATO and the UN; and (3) that DOD has assurance that armed Afghan private security contractor personnel are screened. (DCM)	GAO	Apr-10	
Agreed-Upon Procedures Attestation for USFOR-A LOGCAP Course of Action (A-2010-ALL-0480.000). Objective: Determine if the corrective actions presented in the USFOR-A LOGCAP Course of Action Decision Brief were implemented and would provide assurance requirements were processed through the appropriate review board.	AAA	May-10	May-11
Focused Contract Audit: Review of performance, cost, agency oversight, and sustainability of Air Force managed contract for construction projects in Herat and Mazar-E-Sharif	SIGAR	May-10	Apr-11

PROJECT	AGENCY	START	FINAL
Private Security Contract Audit: Global Strategies Group.	SIGAR	Jun-10	Apr-11
Focused Contract Audit: Review of performance, cost, agency oversight of USAID's cooperative agreement with CARE International for the FIRUP (cash for work) program.	SIGAR	Jul-10	Apr-11
DoD Counter Narcoterrorism Technology Program Office's Other Direct Costs (D2011-D000AS-0004.000). This audit is one in a series of audits relating to the contracts supporting the DoD Counter Narcoterrorism Technology Program Office. Objective: Determine whether other direct costs are fair and reasonable in the task orders issued by the DoD Counter Narcoterrorism Technology Program Office.	DoD IG	Sep-10	
Micro-Purchases of Field Ordering Officers - Afghanistan (A-2011-ALL-0135.000). Objective: Determine whether procedures for micro purchases by field ordering officers had sufficient controls in place to ensure purchases were justified and items purchased were recorded on accountable records.	AAA	Oct-10	Jul-11
MERO: Review of International Narcotics and Law Enforcement Affairs' Major Crimes Task Force in Afghanistan (Contract Evaluation). Purpose: The primary objectives of this review are to determine: (1) the requirements and provisions of the contract; (2) if contract performance measures have been established and are being achieved; (3) how well INL administers the contract to provide proper oversight of the contractor's performance; and (4) whether the contractor is performing efficiently and effectively.	DoS OIG	Nov-10	May-11
DoD Oversight of the Northern Distribution Network (D2011-D000JA-0075.000). Objective: Assess DoD oversight of the Northern Distribution Network and evaluate the ability of DoD to plan, coordinate, and execute sustainment operations for Afghanistan through the Northern Distribution Network.	DoD IG	Nov-10	Aug-11

PROJECT	AGENCY	START	FINAL
Follow-up of Health Care Provided by Military Treatment Facilities to Contractors in Southwest Asia (D2011-D000LF-0041.000). This follow-up audit is being performed at the request of the former Commander, U.S. Central Command. Objective: Determine whether DoD is taking adequate action in billing contractors for health care provided at military treatment facilities in Southwest Asia as identified in Department of Defense Office of Inspector General Report No. D-2009-078, "Health Care Provided by Military Treatment Facilities to Contractors in Southwest Asia."	DoD IG	Nov-10	Sep-11
Focused Contract Audit: Review of performance, cost, agency oversight, and sustainability of Air Force managed contract for the Kabul Military Training Center.	SIGAR	Dec-10	May-11
Procurement of High-Mobility Multipurpose Wheeled Vehicles and Troop Enclosures for the Afghan National Security Forces (D2011-D000AS-0133.000). Objective: Determine whether the program and contracting officials are effectively and efficiently procuring High-Mobility Multipurpose Wheeled Vehicles and troop enclosures.	DoD IG	Jan-11	
Pricing and Oversight of the Afghan National Army Air Corps Pilot and English Language Training Task Order (D2011-D000AS-0153.000). Objective: To determine whether the U.S. Army Program Executive Office for Simulation, Training, and Instrumentation obtained fair and reasonable prices for the goods and services on the Afghan National Army Air Corps Pilot and English Language Training task order and appropriately defined contractor surveillance and oversight processes and procedures for the task order. This audit is the second in a series of audits relating to the Warfighter Field Operations Customer Support (FOCUS) contract. The initial project is D2010-D000AS-0266.000	DoD IG	Jan-11	Sep-11
FY2011 NDAA, Sec 1219, mandate to report on recommendations for contract oversight with emphasis on private security contractors.	SIGAR	Feb-11	May-11

PROJECT	AGENCY	START	FINAL
Focused Contract Audit: Review of performance, cost, agency oversight, and sustainability of AFCEE managed contracts for the construction of Afghan Defense University	SIGAR	May-11	
Review of the Attorney General's Anti-Corruption Unit (JSSP) in Afghanistan Purpose: To provide an overall review and summary of the requirements and provisions of the Islamabad Embassy Security Force contract and to determine the amount of funding the Department has obligated and expended; how the Department ensures that costs are properly allocated and supported; to what extent the Department's anti-corruption mentoring and justice sector support program assistance has achieved its objectives and the impediments; and the effectiveness of Embassy Kabul in managing and overseeing the assistance program.	DoS OIG		

Cross Cutting

ONGOING

PROJECT	AGENCY	START	FINAL
Information Operations in Afghanistan (D2010-D000JA-0138.000) Objective: Evaluate the ability of U.S. Central Command and U.S. Forces-Afghanistan to conduct Information Operations in Afghanistan. Additionally, we will assess the support provided by DoD organizations that enable those commands to conduct Information Operations.	DoD IG	Feb-10	Apr-11

PROJECT	AGENCY	START	FINAL
U.S. Civilian Surge in Afghanistan (320766). As the U.S. government seeks to build Afghan government institutions to stand on their own, an unprecedented expansion of the U.S. civilian presence in Afghanistan is underway. As billions of additional dollars and hundreds of new personnel flow into the country, it is important that U.S. agencies respond effectively to the U.S. government's staffing priorities as well as prepare their personnel for deployment in a conflict zone. Key Questions: (1) To what extent and through what processes are U.S. agencies prioritizing and fulfilling staffing requirements for the civilian surge in Afghanistan? (2) What steps have U.S. agencies taken to prepare their personnel for deployment? (IAT)	GAO	Apr-10	
Accountability for Afghanistan Direct Funding (320794). Since 2002, the United States has supported Afghanistan through specific lines of effort in security, governance, and development. U.S. agencies have paid for these efforts using direct funding, contributions to trust funds, and other means. The Administration has proposed to increase its use of direct funding. However, some members of Congress have concerns about the oversight and accountability of these funds. Key Questions (1) How has the United States provided funding for the lines of effort and how much has been provided? (2) What internal controls and oversight have U.S. agencies established for direct funding to support Afghanistan? (3) To what extent have U.S. agencies implemented the internal controls and oversight? (IAT)	GAO	Jul-10	

PROJECT	AGENCY	START	FINAL
Afghanistan Civilian Uplift (Joint w/SIGAR) The objective of this audit is to determine the costs of the Civilian Uplift in Afghanistan, including how much has been spent to date (2009 to present) and an estimate of how much it will cost to sustain the effort (present through 2012). Our work will focus on the costs associated with the hiring, training, deployment, and necessary life and operational support of civilian uplift personnel assigned to Afghanistan.	DoS OIG	Oct-10	
Limited-scope Review of the Needs Assessments and Facilities Conditions for Newly Developed Department of State Positions in Afghanistan The primary objectives of this evaluation are to determine: 1) the degree to which the Department conducted needs assessments to identify, develop, and staff new positions at Embassy Kabul and its constituent posts; and 2) whether available office facilities and housing or that already provided to staff filling these new positions are adequate, safe, and secure.	DoS OIG	Dec-10	

Education & Healthcare

ONGOING

PROJECT	AGENCY	START	FINAL
Audit of USAID/Afghanistan's Partnership for Community-Based Education in Afghanistan Program Objective: Did USAID/Afghanistan's Partnership for Community-Based Education in Afghanistan achieve its main goals?	USAID OIG	May-10	Oct-10
Audit of USAID/Afghanistan's Support to the American University of Afghanistan Activity Objective: Did USAID/Afghanistan's Support to the American University of Afghanistan Activity achieve its main goals?	USAID OIG	May-10	Nov-10

PROJECT	AGENCY	START	FINAL
Audit of USAID/Afghanistan's Water, Agriculture, and Technology Transfer Program. Objective: Has USAID/Afghanistan's Afghanistan Water, Agriculture, and Technology Transfer Program achieved its main goals?	USAID OIG	Aug-10	
Audit of USAID/Afghanistan's Construction of Health and Education Facilities Program Objective: Is USAID/Afghanistan's Construction of Health and Education Facilities (CHEF) program achieving its main goals?	USAID OIG	Sep-10	Mar-11
Medical Aspects of Civilian Deployments (F2011-FD2000-0289). Objective: Determine whether the Air Force Medical Service (AFMS) effectively managed medical aspects of civilian deployments. Specifically, determine whether officials provided (a) appropriate pre- and post-deployment screening for DoD civilians and contractors and (b) proper medical support to deployed civilians. Further, determine whether officials effectively deployed civilians to augment the AFMS expeditionary force. [TDY footprint in AOR Jun - Aug 2011] (MC)	AFAA	Jan-11	Dec-11

(MC) = project impacts Multiple Countries

Equipment & Property

ONGOING

PROJECT	AGENCY	START	FINAL
Requirements Development Process for Military Construction Projects in Afghanistan (D2011-D000JB-0068.000) Objective: Evaluate the requirements development process for military construction projects in Afghanistan. Specifically, we will determine whether the requirements development process results in statements of work that clearly define required results, have measurable outcomes, and meet DoD needs.	DoD IG	Nov-10	Aug-11

PROJECT	AGENCY	START	FINAL
Supply Support Activities' Effectiveness in Sustaining U.S. Forces in Afghanistan (D2011-D000JO-0169.000). Objective: To determine whether selected Supply Support Activities in Afghanistan were effectively and efficiently supporting their customers. Specifically, we will evaluate whether the provided supply support was adequate in supporting unit readiness, and whether procedures for establishing authorized stockage lists were sufficient to ensure the most efficient use of funds.	DoD IG	Feb-11	Oct-11

Funds Control

ONGOING

PROJECT	AGENCY	START	FINAL
Controls Over Vendor Payments Phase II - Afghanistan (A-2010-ALL-0103.000). Objective: Determine if the Army has effective controls to ensure the accuracy of vendor payments for contingency operations in Southwest Asia (Afghanistan).	AAA	Feb-10	Mar-11
Internal Control over Defense Finance and Accounting Service Overseas Contingency Operations (D2010-D000FR-0182.000). Objective: Determine whether the Defense Finance and Accounting Service (DFAS) established adequate controls to support the mission in Afghanistan so that contract payments and DFAS provided accounting services are accurate and timely. In addition, we will assess the adequacy of the current level of DFAS personnel assigned to the mission in Afghanistan.	DoD IG	Apr-10	Mar-11
Review of the National Solidarity Program. Objective: examine extent and use of U.S. and other donor funds, and oversight and internal controls	SIGAR	Apr-10	Mar-11

PROJECT	AGENCY	START	FINAL
Review of ANP Personnel Accountability Systems. Objective: assesses the ability of ANP personnel management processes and systems to account for (1) ANP payroll costs and reimbursement and (2) the ANP workforce.	SIGAR	Jul-10	Mar-11
Review of Implementation and Sustainment of the Civilian Uplift (Joint audit with DOS OIG). Objective: Determine costs associated with the civilian uplift and its sustainment.	SIGAR	Oct-10	May-11
Internal Controls Over Distribution and Reconciliation of Funds for the Afghanistan National Army Payroll (D2011-D000FR-0089.000). Objective: Determine if adequate controls are in place to ensure that the North Atlantic Treaty Organization Training Mission - Afghanistan/Combined Security Transition Command - Afghanistan (NTM-A/CSTC-A) is distributing DoD funds accurately and timely to the Afghanistan Ministries for the Afghanistan National Army payroll. In addition, we will determine whether NTM-A/CSTC-A has implemented an adequate mentoring process to assist Afghanistan Ministries in providing accurate payments to its National Army personnel.	DoD IG	Nov-10	Oct-11

PROJECT	AGENCY	START	FINAL
Afghan National Police Training Program (D2011-D000JA-0009.000) [DOS 11AUD3001]. The DoD IG and the Department of State Inspector General are performing this joint audit to meet an anticipated requirement in the FY 2011 National Defense Authorization Act that will require the DoD IG, in consultation with the Inspector General of the Department of State, to issue a report on the Afghan National Police Training Program. Objective: Evaluate the DoD and DOS efforts to transfer contract administration for the Afghan National Police Program. Specifically, we will assess the cost, performance measures, and planning efforts associated with the transfer to ensure enhanced contract oversight, adequate funding and support, and effective program management. We also plan to follow-up on the joint DOD IG Report No. D-2010-042 and DOSIG Report No. MERO-A-I O-6, "Department of Defense Obligations and Expenditures of Funds Provided to the Department of State for the Training and Mentoring of the Afghan National Police," February 9, 2010.	DoD IG	Jan-11	
AUDIT: Review of the Afghanistan National Police Training and Mentoring Program (Joint w/DODIG) (Financial Review Audit). Purpose: To provide an overall review and summary of the requirements, provisions, and costs of the Afghanistan National Police Training and Mentoring Program contract; objectives of the contracts and task orders, what indicators have been established to measure performance; and how the State Department administered the contract to oversee DynCorp's performance and costs of the contract. Assess the status of contract records, management controls, costs, and value of this contract to the mission of the US Departments of State and Defense.	DoS OIG	Jan-11	

Government Capacity Building

ONGOING

PROJECT	AGENCY	START	FINAL
Review of the Ministerial Assessment Process in Afghanistan Objective: Do the ministerial assessments that USAID/Afghanistan is performing or participating in provide reasonable assurance of identifying significant vulnerabilities that could result in waste or misuse of U.S. Government resources?	USAID OIG	Aug-10	
Review of U.S. and international donor programs to develop the Afghan banking sector. Objective: Assessment of U.S. and donor assistance programs to develop the Afghan banking sector, including U.S. programs to build an effective Afghan currency control regime	SIGAR	Oct-10	Apr-11
Afghan Capacity Building to Manage Budget and Finances (320815) Afghanistan's cumulative budget shortfall is projected to exceed \$22 billion by 2013, with the international community expected to fund the shortfall. In July 2010, the Afghan government stated that it needed to strengthen its management and oversight of public finances to ensure it could manage its budget and donor funds. (1) What is Afghanistan's national budget (revenues, expenditures) and budgetary shortfall? (2) What are the U.S. efforts to build Afghan capacity to manage and oversee the budget and build a sound financial management system; to what extent are the efforts aligned with Afghan and international community goals? (3) To what extent have U.S. efforts improved the Afghan government's capacity to manage and oversee its budget and develop a sound financial management system?	GAO	Nov-10	
Facilities Management Training Provided Under the National Operations and Maintenance Contracts in Afghanistan (D2011-D000JO-0137.000) Objective: Determine whether the vocational training provided under the National Operations and Maintenance contracts is effective in developing the infrastructure maintenance capabilities of the Afghanistan National Security Forces.	DoD IG	Jan-11	Oct-11

Infrastructure

ONGOING

PROJECT	AGENCY	START	FINAL
Financial Audit of Black & Veatch - AIRP U.S. costs, Contract No. 306-I-00-06-00517-00, August 25, 2006 - September 30, 2008 – Period 1 Audit	USAID OIG	Jun-10	Apr-11
Financial Audit of Louis Berger Group Inc. - AIRP U.S. costs, Contract No. 306-I-00-06-00517-00, August 25, 2006 - September 30, 2008 – Period 1 Audit	USAID OIG	Jun-10	Jun-11
United States Air Forces Central (AFCENT) Area Of Responsibility Construction Planning (F2011-FD1000-0078). Objective: Determine whether AFCENT Civil Engineers coordinate MILCON projects with the proper command at joint bases to facilitate the Base Master Plan. Specifically, determine whether Air Force personnel (a) identified the proper command, agency, or joint structure to oversee the joint base master planning effort, (b) identified and analyzed barriers to proper and adequate master planning, and (c) recommend the optimal alignment of responsibility and authority for the joint base operating support integrator (BOS-I) command structure. (MC)	AFAA	Oct-10	Mar-11
United States Air Forces Central (AFCENT) AOR Utilities (F2011-FD1000-0077). Objective: Determine whether AFCENT Civil Engineers effectively and efficiently manage utilities at AFCENT AOR locations. Specifically, determine if civil engineers provide adequate, safe, and cost-effective utility service. [TDY footprint in AOR Jun-Aug 2011] (MC)	AFAA	Nov-10	Jan-12
Financial Audit of Technologists Inc., Task Order No. 306-0-00-04-00539-00, May 24, 2004 – Dec 31, 2007	USAID OIG		Dec-10

(MC) = project impacts Multiple Countries

Physical Security & Personnel Security

ONGOING

PROJECT	AGENCY	START	FINAL
Implementation of Security Provisions of a U.S. Army Intelligence and Security Command Contract for Linguist Support (D2010-D000JA-0165.001). Objective: Determine whether the security provisions of a U.S. Army Intelligence and Security Command contract for linguist support in Afghanistan (W911W4-07-D-0010) were implemented effectively.	DoD IG	Jun-10	Jun-11
U. S. Air Forces Central (AFCENT) Deployed Locations Computer Network Controls (F2010-FB4000-0614). Objective: Determine whether AFCENT personnel effectively controlled access to the networks in the AFCENT Area of Responsibility. Specifically, determine whether AFCENT personnel properly (a) identify contractors and foreign nationals with access to AFCENT networks, (b) authorize network access for contractors and foreign nationals, and (c) establish network user accounts for contractors and foreign nationals. (MC)	AFAA	Jul-10	May-11

(MC) = project impacts Multiple Countries

Reconstruction

ONGOING

PROJECT	AGENCY	START	FINAL
Construction of the Detention Facility in Parwan, Afghanistan (D2010-D000JO-0229.000). Objective: Determine whether the U.S. Army Corps of Engineers and U.S. Forces - Afghanistan procured construction services and administered the construction contract for the Detention Facility in Parwan, Afghanistan in accordance with the Federal Acquisition Regulation and other applicable laws and regulations. Specifically, we will determine whether the U.S. Army Corps of Engineers properly monitored contractor performance during construction of the Detention Facility in Parwan, and whether the U.S. Army Corps of Engineers has taken or should take recourse against the contractor because of potential latent defects, negligence, or fraud.	DoD IG	Jun-10	Mar-11
DOD Task Force on Business (351525) The Task Force for Business and Stability Operations (TFBSO) mission is to reduce violence, enhance stability, and restore economic normalcy in areas where unrest and insurgency have created an environment of economic hardship and violence. Key Questions: To what extent: (1) has the mission of the Task Force changed since 2006 and has DOD developed plans to institutionalize the Task Force's mission and expand its scope to other countries; (2) has DOD evaluated the Task Force's activities, including establishing goals and metrics to measure outcomes; (3) does the Task Force coordinate its activities with other programs; (4) are the task force's activities similar or dissimilar to other programs and do opportunities exist to achieve greater efficiencies among programs. (DCM)	GAO	Aug-10	

PROJECT	AGENCY	START	FINAL
Controls Over the Reporting and Propriety of Commander's Emergency Response Program Payments in Afghanistan (D2010-D000FL-0276.000). Objective: Determine whether the internal controls over the Commander's Emergency Response Program payments made to support operations in Afghanistan are adequate. Specifically, we will review the controls to ensure payments are proper and that complete, accurate, and meaningful data is reported to those decision-makers responsible for managing the Commander's Emergency Response Program. This audit is the second in a series of audits that addresses the internal controls over the Commander's Emergency Response Program payments made to support operations in Afghanistan.	DoD IG	Sep-10	Jun-11

Refugee Assistance

ONGOING

PROJECT	AGENCY	START	FINAL
Review of Afghanistan Refugee and Internally Displaced Persons. Purpose: To determine whether (1) U.S. government and international organizations have effectively met the protection, food, and other assistance needs of Afghanistan's refugee populations, and (2) whether the U.S. government has a coordinated and effectively managed program to protect and assist these refugees.	DoS OIG	Aug-10	Apr-11

Services**ONGOING**

PROJECT	AGENCY	START	FINAL
Contract Administration of the Prime Vendor Program for Subsistence in Support of Operation Enduring Freedom (D2009-D000LD-0126.000). Objective: Evaluate the contract administration of the Prime Vendor Program for Subsistence in support of Operation Enduring Freedom. Specifically, we will review whether the assignment of Contracting Officer Representatives and execution of the quality assurance surveillance plans and procedures were effective for assessing contractor performance.	DoD IG	Feb-09	Feb-11
Accountability Review of Defense Base Act Insurance Program in Afghanistan for certain DOD reconstruction contractors Objective: Identify the administration of DBA insurance programs in Afghanistan for reconstruction contracts funded by these three agencies.	SIGAR	Aug-10	Jun-11

Training & Equipping National Security Forces**ONGOING**

PROJECT	AGENCY	START	FINAL
U.S. and Coalition Efforts to Train, Equip and Mentor the Afghan National Police (D2010-D00SPO-0198.000). Objectives: Determine whether U.S. government, Coalition, and Afghan Ministry of Interior plans and guidance to develop the Afghan National Police (ANP) are issued and operative, and achieving the stated goals and objectives.	DoD IG	Apr-09	Mar-11

PROJECT	AGENCY	START	FINAL
Management and Oversight for DoD Acquisition and Support of Non-Standard Rotary Wing Aircraft (D2011-D000AS-0030.000). Objective: Determine whether DoD officials properly and effectively managed the acquisition and support of Non-Standard Rotary Wing Aircraft, such as the Russian Mi-17 aircraft, to include those acquired using the Afghanistan Security Forces Fund or any DoD-related requirements. Multiple projects may be initiated under this objective.	DoD IG	Oct-10	Jul-11
US Efforts to Establish an Effective Medical Logistics System (D2011-D00SPO-0092.000). Objective: Assess U.S. Government efforts to establish an effective medical logistics system within the Afghan National Security Forces, Ministry of Defense and Ministry of Interior.	DoD IG	Nov-10	Mar-11
U.S. and Coalition Efforts to Develop the Logistics Sustainment Capability of the Afghan National Army (D2011-D00SPO-0172.000). Objectives: The DoD OIG will determine whether plans, training, preparations, and designated missions of ISAF/USFOR-A, NTM-A/CSTC-A, and IJC to train, advise, and assist in the development of an enduring logistics sustainability capability for the Afghan National Army (ANA) are synchronized with in-country plans and operational assumptions and needs. In addition, DoD OIG will determine whether the planning and operational implementation by U.S./Coalition forces to train, advise, and assist in the development of an enduring logistics sustainability capability for the ANA are effective by evaluating output/outcome in MoI/ANA logistics processes, logistical and operational organizations.	DoD IG	Feb-11	Sep-11

PROJECT	AGENCY	START	FINAL
Accountability of ANSF Vehicles. Objective: Overall, we will examine the U.S. program for providing vehicles for the ANSF. Specifically, we will determine (1) the ANSF's vehicle requirements and the extent to which they have been met, (2) whether CSTC-A and ANSF can fully account for vehicles purchased with U.S. funds for the ANSF, including the number, type, and operational status; and (3) how CSTC-A ensures that ANSF can properly safeguard, account for, operate, and maintain vehicles purchased with U.S. funds.	SIGAR	Nov-10	Aug-11

Warfare Support

ONGOING

PROJECT	AGENCY	START	FINAL
Force Protection Programs for U.S. Forces in Afghanistan (D2010-D000JA-0091.000). Objective: Review the force protection programs for primary gathering facilities and billeting areas of U.S. forces in Afghanistan. Specifically, we will assess the program support and resources that commanders have for facility planning, antiterrorism, and safety programs protecting their forces. The audit will focus on Bagram Airfield, Kandahar Airfield, Camp Eggers, and the New Kabul Compound.	DoD IG	Dec-09	May-11

PROJECT	AGENCY	START	FINAL
DoD Countermine and Improvised Explosive Device Defeat Systems Contracts Interrogation Arm (D2010-D000AE-0139.000). This project is one in a series of reviews to address whether DoD procurement efforts for countermine and improvised explosive device defeat systems used in Iraq and Afghanistan were developed, awarded, and managed in accordance with Federal and Defense acquisition regulations. Objective: For this specific project, determine whether the Joint Improvised Explosive Device Defeat Organization (JIEDDO) and Army procurement efforts for the Interrogation Arm used on Husky and RG-31 vehicles in Iraq and Afghanistan were developed, contracted, and managed in accordance with Federal and Defense acquisition regulations. (MC)	DoD IG	Jan-10	May-11
Marine Corps Individual Augmentee Integration Process (N2010-NFO000-0124.000). Objective: To verify that Marine Corps Individual Augmentees (IAs) are receiving the support needed throughout the deployment cycle to reintegrate with family, community, and employers. (MC)	NAVAUDSVC	Feb-10	Apr-11
Afghanistan ISR Capabilities (351463) - Intelligence, surveillance, and reconnaissance (ISR) assets have demonstrated significant value to military commanders in both Iraq and Afghanistan. As military operations shift focus to Afghanistan, ISR assets are expected to be particularly useful in a multiple of capacities, including on-going counter-improvised explosives device (IED) operations. Key Questions: (1) To what extent has DOD incorporated ISR capabilities and identified ISR shortfalls for military operations in Afghanistan into its planning efforts? (2) To what extent has DOD incorporated lessons learned for ongoing military operations, such as counter-IED operations, into operational planning for using ISR capabilities in Afghanistan? (DCM)	GAO	Feb-10	

(MC) = project impacts Multiple Countries

PROJECT	AGENCY	START	FINAL
U.S. Forces-Afghanistan Sharing of Tactical Counterintelligence and HUMINT with International Security Assistance Force (D2010-DINT01-0173.000). Objective: Assess the effectiveness of DoD sharing of tactical, force protection Human Intelligence and Counterintelligence information with International Security Assistance Force.	DoD IG	Mar-10	Mar-11
Afghanistan Logistics Support (351492). - By August 31, 2010, DOD plans to have about 10,000 troops in Afghanistan. Because of its austere conditions and other unique challenges, some of which GAO has identified previously, supporting operations in Afghanistan will likely continue to be very difficult. Key Questions: (1) To what extent has DOD provided the personnel, equipment, and supplies needed to support operations in Afghanistan in accordance with DOD's established plans and timelines? (2) What factors, if any, have impacted DOD's ability to provide the personnel, equipment, and supplies needed to support operations in Afghanistan, and how has DOD addressed these factors? (3) To what extent has DOD established a clear chain of command for the transportation of personnel, supplies, and equipment into and around Afghanistan? (DCM)	GAO	Mar-10	

PROJECT	AGENCY	START	FINAL
Army Advise and Assist Brigades (351514) The Army is augmenting certain brigade combat teams with additional leaders to advise and assist Iraqi and Afghan security forces. Previously, the Army had created teams of individual leaders, operating separately from brigade combat teams, for this mission. Key Questions: (1) The extent to which Army has defined the roles and missions of augmented brigade combat teams; (2) The extent to which Army has defined the training and resourcing requirements for augmented brigade combat teams, and the extent to which the Army and DOD have assessed their use for security force assistance; and (3) The extent to which Army has been able to fill the requirements for augmented brigade combat teams and what impacts, if any, this is having on reported Army readiness. (DCM)	GAO	Jun-10	
Post-Deployment Health Reassessment Program Management (N2010-NFO000-0040.000). Objective: To verify that the Navy is effectively implementing and managing the Post-Deployment Health Reassessment Program. (MC)	NAVAUDSVC	Jul-10	May-11
Review of the Stability Operations Information Centers in Afghanistan (D2011-DINT01-0123.000). Objective: Determine whether the Stability Operations Information Centers (SOIC) have improved the intelligence provided to senior U.S. leaders and commanders on the political, economic, and cultural environment of Afghanistan.	DoD IG	Dec-10	Sep-11

(MC) = project impacts Multiple Countries

Accountability and Maintenance of Equipment

PLANNED

PROJECT	AGENCY	START	FINAL
Property Accountability in Afghanistan (A-2011-ALL-0344.000). Objectives: (1) Determine if command has sufficient policies, processes, and procedures to account for sensitive item shipments from Afghanistan to CONUS. (2) Determine if command has sufficient policies, processes and procedures to ensure visibility and transfer of property during relief in place transfer of authority (RIP/TOA). (3) Determine if command has sufficient policies, processes and procedures for the use of Financial Liability Investigation of Property Loss (FLIPLs).	AAA	Mar-11	Dec-11
Container Management-Afghanistan (A-2011-ALL-0345.000). Objective: TBD	AAA	Jun-11	Mar-12
Bulk Fuel Operations in Afghanistan-Phase II (A-2011-ALL-0330.000). Objective: Did Class III bulk fuel points in Afghanistan have sufficient controls over storage and distribution operations to ensure the accurate accountability of fuel products and prevent the unauthorized diversion of fuel?	AAA	Aug-11	

Agriculture & Counternarcotics

PLANNED

PROJECT	AGENCY	START	FINAL
Audit of USAID/Afghanistan's Incentives Driving Economic Alternatives for the North, East, and West (IDEA-NEW) Program Objective: Is USAID/Afghanistan's Incentives Driving Economic Alternatives for the North, East, and West Program (IDEA-NEW) achieving its main goal of increasing licit and commercially viable agricultural-based alternatives for rural Afghans?	USAID OIG	Feb-11	Jun-11

PROJECT	AGENCY	START	FINAL
Focused Contract Audit: Review of performance, cost, agency oversight, and sustainability of USAID grants - with International Fertilizer Development Center	SIGAR	Jun-11	
Financial Audit of Development Alternatives Inc., Cooperative Agreement No. 306-A-00-09-00508-00, Mar 2, 2009 - September 30, 2010	USAID OIG		Sep-11

Anticorruption

PLANNED

PROJECT	AGENCY	START	FINAL
Mitigation of Risk Associated with Direct Funding to Afghan Government Objective: Determine whether U.S. agencies providing funds directly to the Afghan Government have performed adequate due diligence to help ensure that those funds were protected, properly accounted for, and used for intended purposes. Including an assessment of assistance provided to strengthen Afghan budgeting and accounting systems to account for these funds and the Afghanistan management information system (AFMIS).	SIGAR	May-11	Dec-11

Contract Management

PLANNED

PROJECT	AGENCY	START	FINAL
Review of USAID/Afghanistan's Pre-Award Survey and Certification Process Objective: Does USAID/ Afghanistan's pre-award and certification process need further strengthening?	USAID OIG	Nov-10	Mar-11
Review of Cash Disbursement Practices Employed by Selected USAID/Afghanistan Contractors and Grantees Objective: Do the cash disbursement practices employed by selected USAID/Afghanistan contractors and grantees ensure that disbursements are reasonable, allocable, and allowable under the agreements?	USAID OIG	Feb-11	Jun-11

PROJECT	AGENCY	START	FINAL
Implementation of the Afghan First Program in Reconstruction Contracting. Objective: Review of the systems and controls in place to identify Afghan or third-party contractors and ensure that applicable laws and regulations (for example, “Afghan First”) with respect to these firms are being followed; Identify and evaluate U.S. government, Afghan government, and private sector efforts to develop a database(s) of vetted Afghan contractors for use by coalition force members seeking to promote the Afghan First initiative through prime and subcontractor awards.	SIGAR	Feb-11	
Host Nation Trucking - Afghanistan (A-2011-ALL-0091.000). Objectives: (1) Determine if the Afghanistan HNT contract is properly administered and has sufficient internal controls over logistics movement requests, transportation movement releases, contractor performance, and the invoice approval process to ensure the proper shipment of materiel in support of theater operations and to prevent waste and misuse of U.S. Government resources. (2) Determine if HNT contracts in Afghanistan were properly justified and awarded.	AAA	Mar-11	
Oversight of Construction Contracts Funded by the Afghanistan Security Forces Fund-U.S. Army Corps of Engineer's Contracts. Objective: Determine whether USACE AED is providing effective oversight of Afghanistan Security Forces Fund military construction projects in support of the Afghanistan National Security Forces. Specifically, to determine whether USACE AED is adequately performing quality assurance oversight responsibilities and properly monitoring contractor performance during construction.	DoD IG	Mar-11	
Focused Contract Audit: Review of performance, cost, agency oversight, and sustainability of DOD managed contract to Kabuljan Construction Company (W91B4M09C7218).	SIGAR	Mar-11	Aug-11

PROJECT	AGENCY	START	FINAL
Review U.S. Competitive Award Announcement Practices for Afghanistan Reconstruction. Objective: Identify the mechanisms used to announce competitive contract award opportunities for Afghanistan reconstruction-funded projects both within and outside Afghanistan.	SIGAR	Mar-11	
Review of CCC's accountability for DOD contracting efforts in Afghanistan. Objective: Review of CCC's Business Theater Clearance process to assess if DOD is implementing it consistently across all reconstruction contracts and contractors in theater and ability to account for all DOD contracting efforts in Afghanistan, per its FRAGO.	SIGAR	Apr-11	Oct-11
Review of the FTE resources that DOD, State, and USAID use to manage and oversee contracts, cooperative agreements, and grants for Afghan reconstruction. Objective: Examine the FTE in place to manage and oversee performance of contracts, cooperative agreements, and grants by USAID, DOD, and State for Afghanistan reconstruction projects.	SIGAR	May-11	Oct-11
LOGCAP Reimbursements (A-2011-ALL-0343.000) Objective: TBD	AAA	Jun-11	Mar-12
LOGCAP IV Forward Operating Base Band Concept (A-2011-ALL-0137.000). Objective: Determine if the LOGCAP IV band concept was appropriately applied for the construction and support of operating bases in Afghanistan.	AAA	Aug-11	

PROJECT	AGENCY	START	FINAL
Follow-on Review of the Task Orders Supporting the DoD Counter Narcoterrorism Program Indefinite Delivery, Indefinite Quantity (IDIQ) Contracts. Follow-on Audit to DoD IG Report No. D2009-109, “Audit of Contracts Supporting the DoD Counter Narcoterrorism Program,” September 25, 2009. Objective: Determine whether the task orders awarded under the basic Indefinite Delivery, Indefinite Quantity (IDIQ) contracts are consistent with Federal and DoD acquisition and contracting policy. Specifically, we will compare the DoD Counter Narcoterrorism Technology Program Office IDIQ contracts and task orders with policy for contracting requirements, contract award, contract fee, and contract oversight.	DoD IG	Aug-11	
Oversight of Construction Contracts Funded by the Afghanistan Security Forces Fund-Air Force Center for Environmental Excellence Contracts. Objective: Determine whether Air Force Center for Environmental Excellence is providing effective oversight of Afghanistan Security Forces Fund military construction projects in support of the Afghan National Security Forces. Specifically, to determine whether Air Force Center for Environmental Excellence is adequately performing quality assurance oversight responsibilities and properly monitoring contractor performance during construction.	DoD IG	Sep-11	
Afghan National Police Program. Objective: Evaluate the DOD contract awarded in the second quarter of FY 2011 for the training and mentoring of the Afghan National Police. Specifically, we will determine whether mission requirements were translated into appropriate contracting vehicles and whether supplies and services obtained are meeting contractual requirements.	DoD IG	Sep-11	

Cross Cutting

PLANNED

PROJECT	AGENCY	START	FINAL
Operations and Maintenance Funds in Support of Local Nationals in Afghanistan. Objective: Determine whether the United States Code Title 10 Operations and Maintenance funds have been expended within the intent of the law to support operations in Afghanistan and examine the expenditures in relation to medical treatment facilities in Afghanistan.	DoD IG	Mar-11	

Education & Healthcare

PLANNED

PROJECT	AGENCY	START	FINAL
Audit of USAID/Afghanistan's Direct Assistance to the Ministry of Public Health in Support of the Partnership Contracts for Health Services Program Objective: Is USAID/Afghanistan's direct assistance to the Ministry of Public Health in support of the Partnership Contracts for Health Services Program achieving its main goal of procuring basic and essential packages of health services in the target provinces?	USAID OIG	Oct-10	Mar-11
Focused Contract Audit: Review of performance, cost, agency oversight, and sustainability of USAID managed cooperative agreements with Management Sciences for Health: Rural Expansions of Afghanistan's Community Based Healthcare program	SIGAR	May-11	Sep-11
Financial Audit of Deutsche Investitions- und Entwicklungsgesellschaft mbh (DEG), Cooperative Agreement No. 306-A-00-05-00512-00, Jan 1, 2009 – Dec 31, 2009	USAID OIG		Sep-11

Equipment & Property

PLANNED

PROJECT	AGENCY	START	FINAL
Countrywide Crosscutting Compliance of Electrical Installations - Afghanistan. Objective: National Electrical Code (NEC) assessment to address life, health, and safety issues compliance with standards, codes, regulations and requirements referenced in the statement of work to include: electrical distribution system, underground, insulated wire and cable, installation of uninterruptable power supply system, interior distribution system – wiring and cabling, electrical panel switchboards and switchgear, interior lighting, exterior lighting, basic electrical materials and installation, diesel generator set stationary, etc.	DoD IG	Apr-11	
Countrywide Crosscutting Compliance of Fire Suppression Systems - Afghanistan. Objective: Fire protection systems assessment to address life and safety of occupants from fire or similar emergencies. fire pumps, automatic sprinkler systems, local fire alarm, smoke detection, wet chemical fire extinguishing system, water distribution system, seismic protection for mechanical equipment, lightning protection system, etc.	DoD IG	Apr-11	

PROJECT	AGENCY	START	FINAL
Compliance of Water and Wastewater Treatment Plants - Afghanistan. Objective: Water and wastewater treatment assessment to address life, health, and safety issues to ensure compliance with National Pollutant Discharge Elimination System permit requirements to control water pollution at the regulating points such as pipes or man-made ditches. Other issues may include evaluation of water and wastewater system capacity, system reliability, operation, maintenance, and rehabilitation of water and wastewater treatment plants, water transmission and distribution system, water well system specifications, plumbing, sanitary sewers, foundation.	DoD IG	Apr-11	
Countrywide Crosscutting Assessment of Technical Requirements for Construction Project - Afghanistan. Objective: To assess the development of program and contract technical requirements of Military Construction (MILCON) projects in Afghanistan. This would entail the review of the technical requirements for new construction projects to ensure they contain proper Unified Facilities Codes (UFC) to ensure the life, health, and safety of the construction.	DoD IG	Apr-11	
Contract Administration and Oversight of Military Construction Projects in Afghanistan. Objective: Determine whether DoD is providing effective oversight of military construction projects. Specifically, to determine whether DoD is adequately performing quality assurance oversight responsibilities and properly monitoring contractor performance during construction.	DoD IG	Jun-11	
Transfer of Property from LOGCAP III to LOGCAP IV (A-2011-ALL-0114.000). Objective: Determine if the transition of property from LOGCAP III to LOGCAP IV was executed in accordance with regulatory guidance and contract requirements.	AAA	Aug-11	

Funds Control

PLANNED

PROJECT	AGENCY	START	FINAL
Marine Reserve Mobilization Orders (N2011-NMC000-0105.000). To verify that internal controls for the issuance and payment of Marine Reserve Mobilization Orders are in accordance with the DOD directives for mobilization, Joint Federal Travel Regulations (JFTR), and the Financial Management Regulation (FMR). (MC)	NAVAUDSVC	Jan-11	Oct-11
Contracts for Small Arms and Associated Spare Parts Funded by the Afghanistan Security Forces Fund. Objective: To determine whether DoD organization contracting procedures for ASFF funded small arms and associated spare parts resulted in timely deliveries of reasonably priced items. We may also assess the quality of delivered items funded by the ASFF and the adequacy of associated contractor billing procedures.	DoD IG	Mar-11	
U.S. Army Communications Electronics Command Contracts to Support the Afghanistan National Security Force - Biometrics Equipment. Objective: Determine whether U.S. Army Communications Electronics Command contracts supported the needs of the Afghanistan National Security Force. Specifically, we will determine whether contracting officials provided quality biometrics equipment, in a timely manner.	DoD IG	Jun-11	Mar-12

(MC) = project impacts Multiple Countries

PROJECT	AGENCY	START	FINAL
Commercial Vendor Services - Afghanistan. The DoD OIG is continuing its reviews of commercial vendor services in Southwest Asia. Objective: Evaluate the Commercial Vendor Services internal controls over compliance with federal tax reporting requirements. Specifically, we will determine whether Commercial Vendor Services offices in Afghanistan properly reported taxable income on Federal information returns as required by Federal laws.	DoD IG	Mar-11	
Status of Reconstruction Funding for Afghanistan (U.S. and Other Donor Funding). Objective: Identify the sources and uses of funds, and restrictions that may apply; examine reliability of funding data; and examine reasons for unobligated funds and unexpended obligations.	SIGAR	Apr-11	Aug-11
Afghan Security Forces Fund FY 2010 Supplemental and FY 2011 Contingency Operations Intragovernmental Service Fees. The DoD OIG will determine whether fees charged to ASFF orders as part of the Foreign Military Sales process are reasonable and do not exceed the actual costs incurred in procuring goods and services in accordance with applicable laws and regulations.	DoD IG	Jun-11	
U.S. Army Communications Electronics Command Contracts to Support the Afghanistan National Security Force - Radio Maintenance and Communications Requirements. Objective: Determine whether U.S. Army Communications Electronics Command contracts supported the needs of the Afghanistan National Security Force. Specifically, we will determine whether contracting officials provided quality communications and electronics equipment and services, in a timely manner.	DoD IG	Apr-11	Dec-11

PROJECT	AGENCY	START	FINAL
Internal Controls Over the U.S. Army Contract Payments in Afghanistan. Objective: Determine whether internal controls over the U.S. Army's procurement and disbursement processing of contract payments supporting operations in Afghanistan are adequate and to ensure that payments are proper.	DoD IG	Jun-11	
Use of Government Purchase Cards Supporting Operations in Afghanistan. Objective: Assess whether the Military Departments use of government purchase cards in support of operations in Afghanistan complied with applicable laws and regulations.	DoD IG	Jun-11	
Review of Solatia and Condolence Payment Programs by U.S. "Reconstruction" Funds to Assist Afghan Civilians Harmed by Military Operations. Objective: Identify all solatia and condolence programs funded by Afghanistan "reconstruction" funds and determine whether they are implemented in accordance with applicable laws and regulations.	SIGAR	Sep-11	Feb-12

Government Capacity Building

PLANNED

PROJECT	AGENCY	START	FINAL
Focused Contract Audit: Review of performance, cost, agency oversight, and sustainability of USAID managed contracts, cooperative agreements, or grants - with DAI and others: Local Governance and Community Development Project.	SIGAR	Jan-11	Jun-11
Review the Status of Efforts to Implement a National ID System. Objective: Identify the status of U.S. and Afghan government efforts to develop a national ID system which will support efforts to identify and segregate insurgents from law-abiding Afghan citizens as well as promote the goal of holding fair and transparent elections.	SIGAR	Mar-11	

PROJECT	AGENCY	START	FINAL
Audit of USAID/Afghanistan's Regional Afghan Municipalities Program for Urban Populations for Regional Platforms (RAMP-UP) Objectives: Is USAID/Afghanistan's Regional Afghan Municipalities Program for Urban Populations (RAMP UP) achieving its main goal of creating effective, responsive, democratic, transparent, accountable, and gender sensitive municipal governance in select municipalities in Afghanistan?	USAID OIG	Apr-11	Aug-11
Audit of USAID/Afghanistan's Limited Scope Grant in Support of the District Development Program (DDP) Objective: Is USAID/Afghanistan's limited scope grant supporting the District Development Program strengthening governance capabilities and responsiveness at the district level?	USAID OIG	May-11	Sep-11
CNPA Detainee Operations. Objectives: Identify U.S. resources and assess the assistance and progress made to develop CNPA detainee operations and its impact on the prosecution of cases at the Counter-Narcotics Justice Center.	SIGAR	Jul-11	Dec-11

Infrastructure

PLANNED

PROJECT	AGENCY	START	FINAL
Audit of USAID/Afghanistan's Strategic Provincial Road — Southern and Eastern Afghanistan Road (SPR-SEA) Project Objective: Is USAID/Afghanistan's Strategic Provincial Road - Southern and Eastern Afghanistan Road (SPR-SEA) Project achieving its goal of improving the quality of Afghanistan's provincial roads in eastern and southern Afghanistan?	USAID OIG	Oct-10	Mar-11

PROJECT	AGENCY	START	FINAL
Audit of USAID/Afghanistan's "USAID Air" Program Objective: Is USAID/Afghanistan's USAID Air program achieving its main goals of providing safe and reliable air service to enable USAID to supply mission critical transportation and freight services in support of provincial reconstruction teams and other US Mission development assistance programs in Afghanistan?	USAID OIG	Apr-11	Aug-11
Financial Audit of Louis Berger Group Inc. - AIRP U.S. costs, Contract No. 306-I-00-06-00517-00, October 1, 2008 - September 30, 2010 – Period 2 Audit	USAID OIG		Sep-11
Financial Audit of Black & Veatch - AIRP U.S. costs, Contract No. 306-I-00-06-00517-00, October 1, 2008 - September 30, 2010 – Period 2 Audit	USAID OIG		Sep-11
Financial Audit of Louis Berger Group Inc./Black & Veatch - AIRP Local costs, Contract No. 306-I-00-06-00517-00, October 1, 2009 - September 30, 2010 – Period 4 Audit	USAID OIG		Sep-11

Other

PLANNED

PROJECT	AGENCY	START	FINAL
Audit of USAID/Afghanistan's Support for Increased Electoral Participation (IEP) Activity and Support to Electoral Process (STEP) Activity in Afghanistan Objective: Is the IEP activity successfully strengthening the ability of political parties to translate citizen concerns into party platforms and campaigns, helping the media cover elections, and encouraging citizen participation in the election process? Is the STEP activity achieving long-term institutional development of electoral institutions and supporting fair and transparent elections?	USAID OIG	Dec-10	Apr-11

PROJECT	AGENCY	START	FINAL
Audit of USAID/Afghanistan's Afghanistan Stabilization Initiative (ASI) – Southern Region Objective: USAID/Afghanistan's Afghanistan Stabilization Initiative (ASI) – Southern Region achieving its main goals of (i) building confidence between communities and the Afghan Government through the improvement of the economic and social environment in the region and (ii) increasing public access to information about Afghan Government social, economic, and political activities and policies?	USAID OIG	Apr-11	Aug-11
Audit of Internal Controls Over the Separate Maintenance Allowance at USAID/Afghanistan Objective: Has USAID/Afghanistan adopted appropriate internal controls to prevent improper use of the separate maintenance allowance benefit?	USAID OIG	May-11	Sep-11

Physical Security & Personnel Security

PLANNED

PROJECT	AGENCY	START	FINAL
MERO: Review of Emergency Action Plan (EAP) of Embassies Baghdad, Kabul, and Islamabad (Program Evaluation). Purpose: To evaluate and assess the current status and effectiveness of the Emergency Action Plans for Embassies Baghdad, Kabul, and Islamabad to determine the reasonableness and their level of coordination and cooperation with the military commanders in-country. (MC)	DoS OIG	Jun-11	
Review of the Use of Biometrics Information in Afghanistan by U.S., Coalition Forces, and Afghan Government Entities . Objective: Identify the range and application of biometrics data collection efforts in Afghanistan. Review and assess the extent of coordination between U.S., coalition forces, and Afghan efforts to collect, process, and utilize such data.	SIGAR	Jun-11	Nov-11

(MC) = project impacts Multiple Countries

Reconstruction

PLANNED

PROJECT	AGENCY	START	FINAL
Commander's Emergency Response Program (A-2011-ALL-0342.000). Objective: Determine whether the Commander's Emergency Response Program in Afghanistan was achieving the overall intent of the program.	AAA	Mar-11	Dec-11
Review USAID's Use of Host Country Contracting to Deliver Development Assistance. Objective: Examine the FTE in place to manage and oversee performance of contracts, cooperative agreements, and grants by USAID, DOD, and State for Afghanistan reconstruction projects.	SIGAR	Jun-11	Nov-11
Financial Audit of Chemonics International, 306-DOT-I-01-08-00033-00, Jun 26, 2009 – September 30, 2010	USAID OIG		Sep-11
Financial Audit of Development Alternatives Inc., 306-DOT-I-02-08-00035-00, Jun 26, 2009 - September 30, 2010	USAID OIG		Sep-11

Services

PLANNED

PROJECT	AGENCY	START	FINAL
Private Security Contract Audit: Review of PSC (selection from Hart Security Limited, SSSI or ISS, Compass, Blue Hackle, or DynCorp)	SIGAR	Mar-11	Aug-11
Private Security Contract Audit: Review of PSC (selection from Hart Security Limited, SSSI or ISS, Compass, Blue Hackle, or DynCorp)	SIGAR	May-11	Sep-11
Private Security Contract Audit: Review of PSC (selection from Hart Security Limited, SSSI or ISS, Compass, Blue Hackle, or DynCorp)	SIGAR	Aug-11	Feb-12

Training & Equipping National Security Forces

PLANNED

PROJECT	AGENCY	START	FINAL
Focused Contract Audit: Review of performance, cost, agency oversight, and sustainability of Air Force managed contract to Lakeshore Engineering for Camp Bastion Airfield Runway, Phase I. (ASFF)	SIGAR	Nov-10	Apr-11
Performance Audit of USACE O&M contracts for the ANA and ANP facilities	SIGAR	Feb-11	Jun-11
Review of Border Control & Afghan Border Police Development Objective: Identify U.S. assistance for developing the afghan border policy and assess the sufficiency of ABP force levels and capabilities, including force size and distribution, current capabilities, improvements made to border control, metrics used to evaluate improvements, and resources used to secure and build border capacity including biometrics screening process.	SIGAR	Mar-11	Jul-11
Focused Contract Audit: Review of performance, cost, agency oversight, and sustainability of USACE contracts awarded to Technologists, Inc., including ANP garrison at Khost, an ANP National Training Center at Wardak, and design and construction for ANCOP in Kabul.	SIGAR	Mar-11	Aug-11
Training of the Afghan National Police. Objective: Evaluate the effectiveness of efforts to train (1) new Afghan National Police personnel, and (2) existing "untrained" Afghan National Police personnel.	DoDIG	Apr-11	
Performance review of Basic Police Training (FPDD, DPDD, FBD). Objective: Performance evaluation of the basic police training programs, including FPDD, DPDD and FBD. Identify amount invested into basic police training programs, extent contractors utilized to perform training duties, progress against goals of program, lessons learned, and sustainment of program.	SIGAR	May-11	Oct-11

PROJECT	AGENCY	START	FINAL
U.S. and Coalition Efforts to Develop the Afghan National Army Air Force. The DODIG will evaluate efforts to build, train, equip and sustain the Afghan National Army Air Force.	DoDIG	Jun-11	
Highway Policing and Road Security. Objective: Assess the performance of US program and plans in place for developing ANSF capacity to secure roads, including how road security incidents are reported and managed.	SIGAR	Sep-11	Feb-12
Review of the Bureau of Diplomatic Security (DS) Afghanistan Anti-Terrorism Assistance (ATA) Training Program Purpose: To provide an overall review and summary of the requirements, provisions, and costs of the Afghanistan Anti-Terrorism Assistance (ATA) Training Program; goals and objectives of the program, what indicators have been established to measure performance; and how DS administers the program to ensure performance and costs of the program are met. Assess the status of program records, management controls, costs, and value of this program to the mission of the Department of State.	DoS OIG		

Warfare Support

PLANNED

PROJECT	AGENCY	START	FINAL
DoD Countermine and Improvised Explosive Device Defeat Systems Contracts-Vehicle Optical Sensor System (VOSS). Objective: Determine whether the Joint Improvised Explosive Device Defeat Organization and Army's procurement efforts for the Vehicle Optical Sensor System used on RG-31 and Joint Explosive Ordnance Disposal Rapid Response Vehicle in Iraq and Afghanistan were developed, contracted, and managed in accordance with Federal and Defense acquisition regulations. (MC)	DoD IG	Mar-11	

(MC) = project impacts Multiple Countries

PROJECT	AGENCY	START	FINAL
Navy Individual Augmentee Reintegration Process (N2011-NFO000-0062.000). To verify that Navy Individual Augmentees (IAs) are receiving the support needed throughout the deployment cycle to reintegrate with family, community, and employers. (MC)	NAVAUDSVC	May-11	
Periodic Health Assessment (PHA) Requirements for Navy Reserve Accessions (N2011-NFO000-0059.000). To verify that the Navy Reserve Forces Command (NAVRESFOR) is efficiently and effectively completing Periodic Health Assessment (PHA) requirements for Navy Reserve accessions. (MC)	NAVAUDSVC	Jun-11	
Navy Safe Harbor Non-Medical Care Management (N2011-NFO000-0051.000). To verify that the Navy Safe Harbor (SH) provides effective Non-Medical Care Management (NMCM) to Wounded, Ill, and Injured (WII) Sailors, their families, and caregivers throughout the recovery phase. (MC)	NAVAUDSVC	Jul-11	

(MC) = project impacts Multiple Countries

IRAQ

Accountability and Maintenance of Equipment

ONGOING

PROJECT	AGENCY	START	FINAL
Controls Over Shipping Container Accountability and Visibility - Iraq (A-2009-ALL-0593.000). Objective: Did command have sufficient controls over the accountability and visibility of shipping containers to support Responsible Drawdown operations in Iraq?	AAA	Jun-09	Mar-11
Controls Over the Accountability and Disposition of Government Furnished Property in Iraq (D2009-D000JB-0307.000) This audit is being conducted in response to a U.S. Central Command request to focus oversight on asset accountability to ensure that U.S. funded assets are properly accounted for and that there is a process for the proper transfer, reset, or disposal of assets. Objective: Determine whether DoD has adequate controls over Government-furnished property, and whether policies and procedures exist for the proper transfer, reset, or disposal of Government-furnished property, and whether those policies and procedures are being executed adequately. A series of reports is planned. Report D-2010-088 addresses controls over the accountability and disposition of government furnished property in Iraq. The second report will address property accountability within CENTCOM Contracting Command contracts.	DoD IG	Sep-09	Mar-11
Redistribution Property Assistance Teams (A-2010-ALL-0338.000). Objective: Determine if agreed-upon Redistribution Property Assistance Teams-related recommendations in Audit Report A-2009-0085-ALL were implemented and corrective actions fixed the problems.	AAA	Jan-10	Feb-11

PROJECT	AGENCY	START	FINAL
Base Drawdown Asset Management (F2010-FC4000-0308). Objective: Determine whether Air Force personnel effectively managed base drawdown assets. Specifically, determine if Air Force personnel have established adequate plans and procedures for asset management at closing/drawdown installations, identified and documented all installation assets, and planned the related disposition (retention, transfer, disposal) for the assets.	AFAA	Feb-10	Feb-11
Disposal of Army Equipment and Materials Into Dump Sites in Iraq (A-2010-ALL-0311.001). Objective: Are Army units complying with guidance on the disposal of Army equipment and materials into dump sites in Iraq? Are Army units' use of dump sites enhancing the drawdown mission in Iraq?	AAA	Feb-10	Mar-11
Night Vision Equipment Management (F2010-FD3000-0034). Objective: Determine whether Air Force personnel effectively manage night vision equipment. Specifically, determine whether Air Force personnel (a) accurately determine night vision equipment requirements and correctly maintain associated authorizations to meet anticipated mission needs, and (b) properly account for on-hand night vision equipment assets. (MC)	AFAA	Mar-10	Feb-11
U.S. Equipment Transferred to Iraq (A-2010-ALL-0312.000). Objective: Evaluate whether the Theater process for transferring specific property to the government of Iraq, as part of Responsible Drawdown, conforms to DOD policies and Title X authority.	AAA	Mar-10	Apr-11

(MC) = project impacts Multiple Countries

PROJECT	AGENCY	START	FINAL
DOD Iraq Drawdown Planning (351476). Key Questions: (1) To what extent have DOD's retrograde processes and IT systems ensured the accountability, visibility, and timely disposition of equipment? (2) To what extent has DOD planned for and begun to execute the tasks necessary to draw down the remaining U.S. military personnel and equipment from Iraq between Sept. 1, 2010 and Dec. 31, 2011? (3) To what extent has DOD met its goal of increasing the proportion of local national contractors performing work in Iraq and ensured adequate oversight? (4) What other factors may impact DOD's ability to effectively and efficiently complete the withdrawal of forces and equipment, and what contingency plans does the department have in place? (DCM)	GAO	Apr-10	
Follow-up of Retrograde Operations-MultiClass Supplies in SWA (A-2010-ALL-0260.000). Objectives: (1) Determine if the Army implemented the recommendations from Audit Report A-2009-0080-ALL, and if so, did the corrective actions fix the problems. (2) Determine if the Army has adequate policies, procedures, and capabilities to effectively retrograde multiclass supplies and materiel from Iraq in support of responsible drawdown operations.	AAA	May-10	Feb-11
Followup of Retrograde Operations in Southwest Asia - Class VII Equipment (A-2010-ALL-0258.000). Objective: Determine if the Army implemented the recommendations from the prior audit and if corrective actions were effective. Evaluate the Army's capabilities and capacities to effectively reset equipment and materiel from Iraq as part of the Responsible Drawdown.	AAA	May-10	Mar-11

PROJECT	AGENCY	START	FINAL
Drawdown and Reset of Equipment in Iraq - Supply Support Activity and Central Receiving and Shipping Point Operations in Iraq (D2010-D000JB-0219.000). This audit is being conducted in response to a U.S. Central Command request to focus oversight on asset accountability to ensure that U.S. funded assets are properly accounted for and that there is a process for the proper transfer, reset, or disposal of assets. Objective: Determine whether DoD is effectively managing operations at the Supply Support Activities and Central Receiving and Shipping Points in Iraq. Specifically, we will evaluate the process for the disposition of equipment leaving Iraq and whether that process ensures timely accountability, visibility, and redistribution of the equipment to efficiently and effectively meet DoD needs. We will also determine whether adequate security procedures are in place to ensure that equipment shipped from Iraq is received at its intended destination.	DoD IG	May-10	Mar-11
Audit of USAID/Iraq's Nonexpendable Property Objective: Did USAID/Iraq manage its nonexpendable property effectively so that vulnerabilities to loss, mismanagement, neglect, fraud, and theft have been minimized.	USAID OIG	Jun-10	Dec-10
Small Arms and Light Weapons Management (F2010-FC4000-0028). Objective: Assess if property custodians properly manage small arms and light weapons. Specifically, assess if custodians accurately account for items, promptly report on-hand discrepancies, adequately store and protect items, and appropriately deploy small arms and weapons. (MC)	AFAA	Jul-10	Jul-11

(MC) = project impacts Multiple Countries

PROJECT	AGENCY	START	FINAL
Special Operations Forces Plans for the Drawdown and Reset of Property in Iraq (D2010-D000JA-0241.000). Objective: Evaluate the Special Operations Forces' plans for the drawdown and reset of assets in Iraq. This includes ensuring a process exists for the proper transfer, reset, or disposal of assets. Specifically, we will determine whether the Special Operations Forces drawdown plan defines clear roles, responsibilities, and lines of reporting; addresses issues including property accountability, visibility, reset, and return; and sets realistic milestones for the initiation and completion of drawdown and reset activities.	DoD IG	Jul-10	Jun-11
Aircraft Fuels Servicing Equipment (F2010-FC4000-0033). Objective: Determine whether aircraft fuels servicing equipment is effectively managed. Specifically, evaluate whether personnel properly identify and support refueling truck and support equipment requirements, and accurately account for these items. (MC)	AFAA	Aug-10	Aug-11
U.S. Equipment Transferred to Iraq (USETTI) - Phase II (A-2011-ALL-0107.000). Objective: Determine whether the proper organizational structures, policies, and processes are in place to ensure commands can sufficiently execute the U.S. Equipment Transfer to Iraq in accordance with established transfer authorities.	AAA	Oct-10	May-11
Audit of the Foreign Excess Personal Property (FEPP) Program - Phase II (A-2011-ALL-0092.000). Objective: To determine if U.S. Forces - Iraq have sufficient processes in place to execute the Foreign Excess Personal Property (FEPP) Program IAW the established transfer authority, including properly documenting equipment transfers to the Government of Iraq.	AAA	Jan-11	Aug-11

(MC) = project impacts Multiple Countries

PROJECT	AGENCY	START	FINAL
Technical Order Management (F2011-FC2000-0025). Objective: Evaluate whether Air Force personnel effectively manage Technical Orders (TOs) and Time Compliance Technical Orders (TCTOs) in Southwest Asia. Specifically, determine if personnel effectively distribute Technical Orders and TCTOs and properly implement support equipment and parts TCTOs. [TDY footprint in AOR Jun - Aug 2011] (MC)	AFAA	Feb-11	Dec-11

(MC) = project impacts Multiple Countries

Agriculture & Counternarcotics

ONGOING

PROJECT	AGENCY	START	FINAL
Audit of USAID Iraq's Agriculture Private Sector Development Agribusiness Program Objective: Was USAID/Iraq's Agriculture Private Sector Development - Agribusiness Program is providing effective support for economic diversification and job creation?	USAID	Nov-09	Oct-10

Contract Management

ONGOING

PROJECT	AGENCY	START	FINAL
Maintenance and Support of the Mine Resistant Ambush Protected Vehicle (D2009-D000CK-0100.000). Objective: Determine whether Mine Resistant Ambush Protected vehicle program and contracting officials are adequately supporting Resistant Ambush Protected vehicle maintenance requirements and appropriately awarding and administering maintenance contracts. A series of reports is planned for this project. Report No. D-2010-068 addresses government oversight of field service representative and instructor services in support of the MRAP program. Report D-2010-068 addresses government oversight of field service representative and instructor services in support of the MRAP program. <u>Report D-2011-036 addresses competition for instructor services for the MRAP.</u>	DoD IG	Dec-08	Apr-11
Deployment of the Standard Procurement System in the Joint Contracting Command Iraq/Afghanistan (D2009-D000FB-0112.000). Objective: Determine whether the deployment of the Standard Procurement System to include the Standard Procurement System Contingency in the Joint Contracting Command Iraq/Afghanistan, was properly planned and executed. This is the second in the series. (MC)	DoD IG	Feb-09	Apr-11
Quality Assurance Over Maintenance Contracts (F2010-FC2000-0021). Objective: Determine whether Air Force officials established adequate controls for managing selected maintenance contracts. Specifically, determine if Air Force personnel (a) effectively monitored contract performance, (b) properly documented maintenance actions, and (c) accurately tracked aircraft flying hours.	AFAA	Jan-10	Feb-11

(MC) = project impacts Multiple Countries

PROJECT	AGENCY	START	FINAL
International Oil Trading Company Contracts to Supply Fuel to U.S. Troops in Iraq (D2009-D000CH-0244.000). Objective: Review the Defense Energy Support Center's decision to award the International Oil Trading Company a series of contracts for the delivery of fuel through Jordan to U.S. troops in Iraq. Specifically, the audit will determine whether prices paid were fair and reasonable, whether an exclusive supply arrangement had an impact on prices, and whether the fuel needs to be supplied through Jordan. We will also include reviewing the internal controls related to the receipt, acceptance, invoicing, and payment processes and procedures for fuel and a review of the Defense Energy Support Center's decision to award the International Oil Trading Company a series of contracts for the delivery of fuel through Jordan to U.S. troops in Iraq.	DoD IG	Jul-09	Mar-11
Commercial Vendor Services Compliance with Federal Tax Reporting Requirements for Contractors Supporting Operations in Southwest Asia (D2009-D000FH-0292.000). Objective: Determine whether the Commercial Vendor Services in Iraq complied with federal tax reporting requirements for payments to contractors in support of operations in Southwest Asia for calendar years 2006 through 2008.	DoD IG	Sep-09	Feb-11
Audit of the Contract for Warehousing and Distribution Services at Abu Ghuraib and the Port of Um Qasr (Project 1009). Objective: Cost, outcome, and oversight of the contract	SIGIR	Mar-10	Mar-11
Realignment of Contractor Support in Response to Future Drawdown of Forces from Iraq (D2010-D000JB-0211.000). Objective: Review the planning and management of actions taken to adjust contractor support in response to the drawdown of U.S. forces from Iraq. Specifically, we will evaluate the process and methodology used to determine descoping actions associated with the LOGCAP contract. (Section 842)	DoD IG	May-10	Apr-11

PROJECT	AGENCY	START	FINAL
Follow-Up Audit - U. S. Air Forces Central (AFCENT) Service Contract Management (F2010-FD3000-0430). Objective: Evaluate management actions taken in response to Report of Audit F2007-0005-FD3000, CENTAF Deployed Locations Services Contract Management, 20 April 2007. Specifically, assess whether AFCENT personnel properly validate contract requirements and adequately monitor contractor performance. (MC)	AFAA	Jul-10	Jun-11
Contract for Recycling and Disposing of Waste Materials at Camp Steeler, Iraq (A-2009-ALL-0571.000). Objectives: Determine if the contractor performed according to the terms, specifications, and conditions of the contract. Sub-objectives: Evaluate the sufficiency of controls over (1) payments to the contractor (2) delivery of material for recycling and costs associated with recycling (3) delivery and use of fuel.	AAA	Jul-10	Mar-11
Close-out of Iraq Contracts (120931). Since 2003, DOD awarded more than 80,000 contracts worth tens of billions of dollars to support post-conflict reconstruction and stability efforts. (1) What is the number and value of contracts to be closed-out in Iraq and to what extent does DOD have the resources to close-out these contracts in a timely manner? (2) To what extent has the Department established procedures to ensure that the contractors' costs are allowable, allocable, and reasonable? (3) To what extent has DOD established a process to identify and disseminate lessons learned during the contract close-out process to help improve further acquisition efforts? (ASM)	GAO	Jul-10	

(MC) = project impacts Multiple Countries

PROJECT	AGENCY	START	FINAL
MERO: Review of Operations and Maintenance Costs for Embassy Baghdad (Contract Evaluation). Purpose: The primary objectives of this audit are to determine: 1) the requirements and provisions of the contract and task orders; 2) the amount of funding the Department has obligated and expended to provide embassy facility operations and maintenance through contracts for fiscal years 2005-2010; 3) the effectiveness of PAE's contract performance in providing facility operations and maintenance to Embassy Baghdad; 4) PAE's controls for inventorying, recording, and safeguarding U.S. Government- furnished equipment and property in Iraq, whether the equipment has been properly accounted for, and the challenges to maintaining accountability; 5) how well the Department administers and manages the contract and task orders to provide oversight of PAE's performance in Iraq; and 6) how the Department ensures that costs are properly allocated and supported.	DoS OIG	Jan-11	Aug-11
Audit of the Cost, Outcome, and Management of the Fallujah Wastewater Treatment Plant Project (Project 1018). Objective: to determine cost, outcome, and management of the project. This audit may result in more than one report and the objectives could be modified over time.	SIGIR		

Cross Cutting

ONGOING

PROJECT	AGENCY	START	FINAL
Defense Hotline Allegations Concerning Traumatic Brain Injury Research Integrity in Iraq (D2009-D00SPO-0242.000). Objectives: The assessment was initiated in response to allegations made to the Defense Hotline. The overall objective is to review the allegations made to the Defense Hotline concerning Traumatic Brain Injury research integrity in Iraq. Specifically, we will determine whether DoD guidance regarding the performance of research on human subjects was violated, and whether research misconduct occurred in a DoD approved clinical research trial evaluating the treatment of mild traumatic brain injury.	DoD IG	Jun-09	Mar-11
Congressional Request Review of U.S. Army Response to Sodium Dichromate Exposure at Qarmat Ali, Iraq (D2009-DIPOE3-0306.000). Objective: Conduct an investigation into the exposure of U.S. soldiers to sodium dichromate, a carcinogen, at the Qarmat Ali, Iraq water treatment plant to (1) ascertain the efforts of the U.S. Army and contractors to protect the safety and health of government and contractor personnel working at the site in 2003 and (2) evaluate the adequacy and timeliness of efforts to identify, contact, and provide access to appropriate health care for soldiers potentially exposed. Report SPO-2010-006 discusses part one of this project. A second report is planned.	DoD IG	Sep-09	May-11
U.S. Strategy and Joint Campaign Plan for Iraq (320734). Key Questions: (1) To what extent does the 2010 Joint Campaign Plan for Iraq include key planning elements called for by military doctrine for campaign planning as defined by Joint Publication 5-0? (2) What are the current conditions and projected needs for the security situation in Iraq and the capability of the Iraqi Security Forces? (3) To what extent have the Departments of Defense and State developed plans to address risks to the campaign? (IAT)	GAO	Nov-09	

PROJECT	AGENCY	START	FINAL
Review of Embassy Baghdad Transition Planning–Phase II (DoD Downsizing). Purpose: A follow-on review of the transition planning mechanisms in place within the Department and between the Department and the U.S. Military and the Government of Iraq; what decisions and actions Department planners have completed concerning key transition issues, including ICASS, security, logistical support, transportation, and the status of regional offices and Provincial Reconstruction Teams; and how the Department is planning to meet the expected costs associated with increased Department roles and responsibilities.	DoS OIG	Jul-10	Mar-11
Evaluation of the Commander's Emergency Response Program. Objective: to provide a statistical analysis of the geographic distribution of CERP spending in Iraq, and to assess the efficacy of the funds disbursed through CERP to assist local commanders improving stability and security in their areas of responsibility.	SIGIR	Sep-10	Mar-11
Review of Ministerial Capacity Building Efforts in Iraq. The objectives of this review are to determine the status of U.S. Government-funded programs for building capacity in selected ministries of the Government of Iraq (GoI) and to assess whether the programs have enhanced the capacity of the ministries to perform critical government functions. In addition, we will assess whether the various capacity building programs have contributed to overall GoI performance and to U.S. Government strategic goals in Iraq.	SIGIR	Nov-10	
Audit of the Status of Recommendations Made to the Department of Defense (Project 1102). Objective: to determine whether DoD has taken action on audit recommendations made by SIGIR. This audit may result in more than one report and the objectives could be modified over time.	SIGIR		
Audit of the Status of Recommendations Made to the Department of State (Project 1103). Objective: to determine whether DoS has taken action on audit recommendations made by SIGIR. This audit may result in more than one report and the objectives could be modified over time.	SIGIR		

PROJECT	AGENCY	START	FINAL
Audit of the Status of Recommendations Made to the U.S. Agency for International Development (Project 1104). Objective: to determine whether USAID has taken action on recommendations made by SIGIR. This audit may result in more than one report and the objectives could be modified over time.	SIGIR		

Funds Control

ONGOING

PROJECT	AGENCY	START	FINAL
Forensic Audits (Project 9005). Objective: To determine whether DoD contractor invoices and electronic transactions may have included overcharges for goods and services to identify possible instances of fraud, waste, and abuse and internal control weaknesses that enabled these overcharges to occur. This audit addresses the DoD portion of SIGIR's mandate to produce a final forensic audit. Several interim reports have been issued under this code and will be continue to be issued periodically until October 2012.	SIGIR	Jan-09	

PROJECT	AGENCY	START	FINAL
Internal Controls and Data Reliability in the Deployable Disbursing System (D2007-D000FL-0252.000) A series of reports is planned for this project. Objective: Determine whether the internal controls over transactions processed through the Deployable Disbursing System are adequate to ensure the reliability of the data processed. The audit series will include financial information processed by disbursing stations supporting the Global War on Terror and will also follow up on our “Internal Controls Over Out-of-Country Payments,” (Project No. D2006-D000FL-0208.000). The first report, D-2009-054, addresses U.S. Marine Corps (USMC) processed disbursement transactions that contain classified information into unclassified DoD systems. D-2010-037 addresses internal controls of USMC commercial and miscellaneous payments processed through the Deployable Disbursing System. D-2010-038, (CLASSIFIED REPORT) addresses classified information in an unclassified DoD system. (MC)	DoD IG	Aug-10	Apr-11
Audit of the Status of the Iraq Relief and Reconstruction Fund II (1014b). Objective. Determine the extent to which funds appropriated for the IRRF II have been obligated and liquidated.	SIGIR	Aug-10	Apr-11
Audit of the Use of Funds for the Commander's Emergency Response Program for FY 2011 (Project 1101). Objective: To assess United States Forces–Iraq (USF-I) plans for the use and management of CERP funds for fiscal year 2011. This audit may result in more than one report and the objectives could be modified over time.	SIGIR		
Audit of Funds Provided for the Iraqi Commander's Emergency Response Program (Project 1105). Objective: to determine how Government of Iraq funds provided to the I-CERP program were managed and used by DoD. This audit may result in more than one report and the objectives could be modified over time.	SIGIR		

(MC) = project impacts Multiple Countries

Government Capacity Building

ONGOING

PROJECT	AGENCY	START	FINAL
Audit of the USAID/Iraq's Community Action Program III Activity Objective: Has USAID/Iraq's Community Action Program III been effective in supporting community organizations, community-level projects, and assistance to victims of armed conflict?	USAID OIG	Feb-10	Oct-10
Audit of USAID/Iraq's Local Governance Program III Activities Objective: Have USAID/Iraq's Local Governance Program III been effective in supporting decentralization of government resources and capabilities?	USAID OIG	Sep-10	Feb-11

Infrastructure

ONGOING

PROJECT	AGENCY	START	FINAL
Audit of USAID/Iraq's Microfinance Activities Under Its Provincial Economic Growth Program Objective: Are USAID/Iraq's microfinance activities achieving their main goal of increasing access to inclusive and sustainable services in Iraq?	USAID OIG	Apr-10	Oct-10

Other

ONGOING

PROJECT	AGENCY	START	FINAL
Audit of USAID/Iraq's Payroll Payments to Foreign Service Third Country Nationals, and U.S. Personal Services Contractors Objective: Did USAID/Iraq properly calculate and disburse payroll payments to its foreign service national, third-country national, and U.S. personal services contractor workforce in accordance with established regulations, policies, and procedures?	USAID OIG	May-10	Nov-10

Physical Security & Personnel Security

ONGOING

PROJECT	AGENCY	START	FINAL
Survey of Incidents Reported by Private Security Contractors of USAID/Iraq's Contractors and Grantees Objective: What types of security incidents have been reported by personal security firms contracted with USAID/Iraq's implementing partners during the two-year period ending June 30, 2009?	USAID OIG	Sep-09	Apr-11
U. S. Air Forces Central (AFCENT) Deployed Locations Computer Network Controls (F2010-FB4000-0614). Objective: Determine whether AFCENT personnel effectively controlled access to the networks in the AFCENT Area of Responsibility. Specifically, determine whether AFCENT personnel properly (a) identify contractors and foreign nationals with access to AFCENT networks, (b) authorize network access for contractors and foreign nationals, and (c) establish network user accounts for contractors and foreign nationals. (MC)	AFAA	Jul-10	May-11
Audit of the Status of Oversight of Private Security Contractors In Iraq (Project 1019). Objective: Evaluate the current processes to coordinate and integrate oversight of the use of the private security contractors in Iraq and follow-up on prior recommendations. This audit may result in more than one report and the objectives could be modified over time.	SIGIR	Nov-10	Apr-11

(MC) = project impacts Multiple Countries

Reconstruction

ONGOING

PROJECT	AGENCY	START	FINAL
Contracting for U.S. Facilities in Iraq (D2010-D000JB-0158.000). Objective: Determine whether ongoing and planned contracts to build or refurbish U.S. facilities in Iraq are based on valid requirements and in consideration of the drawdown of U.S. forces from Iraq.	DoD IG	Feb-10	Mar-11

Services

ONGOING

PROJECT	AGENCY	START	FINAL
Audit of USACE's Plans and Processes to Transfer Ongoing Projects to the Government of Iraq (Project 1017). Objective: to determine the plans and processes used to complete and transfer ongoing USACE-managed reconstruction projects to the Government of Iraq (GOI). This audit may result in more than one report and the objectives could be modified over time.	SIGIR	Oct-10	Apr-11

Training & Equipping National Security Forces

ONGOING

PROJECT	AGENCY	START	FINAL
U.S. Government Efforts to Transition the Security Assistance Mission Supporting the Government of Iraq from Department of Defense Authority to Department of State Authority (D2009-D00SPO-0287.000). Objectives: Determine whether U.S. government goals, objectives, plans, and guidance are issued and operative for the transition of the Iraqi Security Assistance Mission from DoD authority to an office of security cooperation under Department of State authority and whether U.S. efforts to provide security assistance to the Government of Iraq are adversely impacted by the drawdown of U.S. Forces in Iraq. The DoD OIG plans to issue a series of reports on this matter.	DoD IG	Aug-09	Apr-11
Evaluation of DoD Institutional Guidance Supporting the Establishment of an Office of Security Cooperation (D2009-D00SPO-0287.001). Objective: Determine whether U.S. Government goals, objectives, plans and guidance are issued and operative for the transition of the Iraqi Security Assistance Mission from DoD authority to an office of security cooperation under Department of State authority. The DoD OIG plans a series of reports on this subject.	DoD IG	Aug-09	Jun-11

PROJECT	AGENCY	START	FINAL
Review of the Bureau of Diplomatic Security (DS) Iraq Anti-Terrorism Awareness (ATA) Training Program Purpose: To provide an overall review and summary of the requirements, provisions, and costs of the Iraq Anti-Terrorism Awareness (ATA) Training Program; goals and objectives of the program, what indicators have been established to measure performance; and how DS administers the program to ensure performance and costs of the program are met. Assess the status of program records, management controls, costs, and value of this program to the mission of the Department of State.	DoS OIG	Feb-11	

Warfare Support

ONGOING

PROJECT	AGENCY	START	FINAL
DOD Medical Personnel Requirements for Contingency Operations (351393). DOD's military medical personnel are needed to support combat contingency operations to treat injured military personnel and save lives. Key Questions: In support of operations in Iraq and Afghanistan, to what extent has DOD (1) assessed its need for military medical personnel, and (2) addressed challenges in filling military medical personnel requirements? (DCM)	GAO	Aug-09	

PROJECT	AGENCY	START	FINAL
DoD Countermine and Improvised Explosive Device Defeat Systems Contracts Interrogation Arm (D2010-D000AE-0139.000). This project is one in a series of reviews to address whether DoD procurement efforts for countermine and improvised explosive device defeat systems used in Iraq and Afghanistan were developed, awarded, and managed in accordance with Federal and Defense acquisition regulations. Objective: For this specific project, determine whether the Joint Improvised Explosive Device Defeat Organization (JIEDDO) and Army procurement efforts for the Interrogation Arm used on Husky and RG-31 vehicles in Iraq and Afghanistan were developed, contracted, and managed in accordance with Federal and Defense acquisition regulations. (MC)	DoD IG	Jan-10	May-11
Marine Corps Individual Augmentee Integration Process (N2010-NFO000-0124.000). Objective: To verify that Marine Corps Individual Augmentees (IAs) are receiving the support needed throughout the deployment cycle to reintegrate with family, community, and employers. (MC)	NAVAUDSVC	Feb-10	Apr-11
Drawdown from Iraq (351475). Key Questions: (1) To what extent is DOD able to efficiently drawdown materiel from Iraq and close bases in Iraq in accordance with established goals and timelines? (2) To what extent will factors (i.e., the build-up in Afghanistan, resetting the U.S. force, Iraqi domestic politics, etc.) impact the efficient drawdown of U.S. forces in accordance with established timelines and goals, and the department's ability to effectively transition to the Final phase of the drawdown which is scheduled to begin on September 1, 2010? (DCM)	GAO	Apr-10	

(MC) = project impacts Multiple Countries

PROJECT	AGENCY	START	FINAL
Post-Deployment Health Reassessment Program Management (N2010-NFO000-0040.000). Objective: To verify that the Navy is effectively implementing and managing the Post-Deployment Health Reassessment Program. (MC)	NAVAUDSVC	Jul-10	May-11
Bulk Fuel Operations in Iraq (A-2010-ALL-0541.000). Objective: Determine if there are sufficient processes and procedures for efficient throughput of bulk fuels from theater delivery to retail point of issue in Iraq.	AAA	Jul-10	Jul-11
Contracting for Force Protection for U.S. Installations in Iraq (D2011-D000JB-0098.000). Objective: Determine whether DoD is adequately administering and overseeing private security contracts in Iraq in response to the drawdown of U.S. forces. Specifically, we plan to evaluate support requirements in contracts and related security control measures and procedures for access to U.S. military installations in Iraq.	DoD IG	Dec-10	Aug-11
Army's Equipping Strategies to Reset Equipment Returning from Iraq (351431) Since 2007, the Army has spent about \$54 billion for reset - the repair, recapitalization, or replacement of equipment and is expecting to retrograde additional amounts of equipment for reset as a result of the draw down of U.S. forces from Iraq. (1) To what extent does the Army prioritize equipment for reset? (2) To what extent are the annual depot reset requirements consistent with budget requests?	GAO	Jun-11	

(MC) = project impacts Multiple Countries

Accountability and Maintenance of Equipment

PLANNED

PROJECT	AGENCY	START	FINAL
Implementation of the Base Closure Process in Iraq; Disposition of Government Furnished Property. Objective: Determine whether DoD is effectively managing the disposition of Government Furnished Property in accordance with established drawdown timelines. Specifically, we will determine whether excess Government Furnished Property has been reduced in line with the established force structure and basing footprint as of the end of combat operations. We will also determine whether management took adequate corrective actions on recommendations made in our previous two audits on the “Controls Over the Accountability” and “Disposition of Government Furnished Property in Iraq.”	DoD IG	Mar-11	May-11
Retrograde Operations in Southwest Asia - Class V Ammunition (A-2011-ALL-0105.000). Objectives: (1) Determine if sufficient processes and procedures were in place to properly retrograde ammunition from Iraq. (2) Determine if sufficient accountability and visibility were maintained over ammunition.	AAA	Mar-11	

Contract Management

PLANNED

PROJECT	AGENCY	START	FINAL
Audit of USAID/Iraq’s Contracting Process Objectives: Is USAID/Iraq following FAR procurement procedures to award contracts? Did USAID/Iraq closeout awards in accordance with FAR requirements? How did USAID/Iraq implement the President’s Initiative for federal agencies to improve the effectiveness of their acquisition practices and the results achieved from their government contracts?	USAID OIG	Apr-11	Aug-11

PROJECT	AGENCY	START	FINAL
Controls Over Contractor Demobilization in Iraq. Objective: Evaluate the controls over contractor demobilization in conjunction with the withdrawal of U.S. Forces from Iraq. Specifically, we will evaluate the process and methodology used to ensure that contractors have valid plans for employee demobilization and are implementing those plans in accordance with contract requirements and other applicable guidance.	DoD IG	Apr-11	Sep-11
MERO: Review of the Embassy Baghdad Air Wing Operations in Iraq (Contract Evaluation) Purpose: To review and evaluate the procurement process to include the methods for determining the contract requirements and the contract award decisions, review the funding sources to determine allowable, allocable and reasonableness of costs claimed by the contractor, and evaluate the Department's review process for timely adjudication of invoicing and payment. (Note – there may be multiple separate evaluations based on the number of contractors who are may be awarded different aviation contracts or task orders (TOs) within Iraq to accomplish this mission.).	DoS OIG	Jun-11	
Audit of the Status of DoD's Theater Wide Internal Security Services contracts (Project 1008). Objective: to assess DoD's oversight of TWISS contractors, to include an examination of the process used by DoD personnel to certify contractor invoices. This audit may result in more than one report and the objectives could be modified over time.	SIGIR		Apr-11

Cross Cutting

PLANNED

PROJECT	AGENCY	START	FINAL
Review of Major U.S. Government Funded Infrastructure Projects in Iraq/Electricity Sector. The objectives of this evaluation will be to determine (1) the status of selected projects in the electricity sector, (2) the contribution of these projects to U.S. strategic objectives, and (3) the views of the local Iraqi population regarding these projects.	SIGIR		

Funds Control

PLANNED

PROJECT	AGENCY	START	FINAL
Marine Reserve Mobilization Orders (N2011-NMC000-0105.000). To verify that internal controls for the issuance and payment of Marine Reserve Mobilization Orders are in accordance with the DOD directives for mobilization, Joint Federal Travel Regulations (JFTR), and the Financial Management Regulation (FMR). (MC)	NAVAUDSVC	Jan-11	Oct-11

(MC) = project impacts Multiple Countries

Government Capacity Building

PLANNED

PROJECT	AGENCY	START	FINAL
Audit of USAID/Iraq's Elections Administration Support Program Objective: Has USAID/Iraq's Elections Administration Support Program increased professional and institutional capacity over the election cycle, thus requiring less international assistance?	USAID OIG	Dec-10	Apr-11
Audit of USAID/Iraq's Legislative Strengthening Program Objective: Did USAID/Iraq's Legislative Strengthening program improve the sustainability of democratic governance in Iraq?	USAID OIG	Dec-10	Apr-11

Human Rights

PLANNED

PROJECT	AGENCY	START	FINAL
Review of USAID/Iraq's Contractors Compliance with the Trafficking Victims Protection Reauthorization Act of 2008 Objective: The review will determine if (1) USAID/Iraq and its contractors established sufficient controls to prevent trafficking of persons, and (2) USAID/Iraq's contractors and sub-contractors engaged in trafficking of persons' practices.	USAID OIG	Oct-10	Feb-11
Audit of USAID/Iraq's Anti-Corruption Activities and Selected Financial Transactions of its National Capacity Development Program Objectives : Is USAID/Iraq's Tatweer Program achieving a main objective of enhancing the anti corruption efforts of the Government of Iraq while building public confidence by engaging the local population in anti-corruption activities? Are selected Tatweer program financial transactions supported and eligible?	USAID OIG	Dec-10	Apr-11

Other

PLANNED

PROJECT	AGENCY	START	FINAL
Audit of the Sustainability of Selected USAID/Iraq-Funded Information Technology Systems Objective: Are past USAID/Iraq-funded information technology activities currently used as intended?	USAID OIG	Apr-11	Aug-11

Physical Security & Personnel Security

PLANNED

PROJECT	AGENCY	START	FINAL
MERO: Review of Emergency Action Plan (EAP) of Embassies Baghdad, Kabul, and Islamabad (Program Evaluation). Purpose: To evaluate and assess the current status and effectiveness of the Emergency Action Plans for Embassies Baghdad, Kabul, and Islamabad to determine the reasonableness and their level of coordination and cooperation with the military commanders in-country. (MC)	DoS OIG	Jun-11	

Training & Equipping National Security Forces

PLANNED

PROJECT	AGENCY	START	FINAL
U.S. Efforts to Develop the Logistics Sustainment Capability of the Iraqi Security Forces. The DoD IG will evaluate the effectiveness of efforts to ensure logistical sustainability for the Iraqi Security Forces.	DoDIG	Mar-11	Oct-11

Warfare Support

PLANNED

PROJECT	AGENCY	START	FINAL
DoD Countermine and Improvised Explosive Device Defeat Systems Contracts-Vehicle Optical Sensor System (VOSS). Objective: Determine whether the Joint Improvised Explosive Device Defeat Organization and Army's procurement efforts for the Vehicle Optical Sensor System used on RG-31 and Joint Explosive Ordnance Disposal Rapid Response Vehicle in Iraq and Afghanistan were developed, contracted, and managed in accordance with Federal and Defense acquisition regulations. (MC)	DoD IG	Mar-11	

(MC) = project impacts Multiple Countries

PROJECT	AGENCY	START	FINAL
Navy Individual Augmentee Reintegration Process (N2011-NFO000-0062.000). To verify that Navy Individual Augmentees (IAs) are receiving the support needed throughout the deployment cycle to reintegrate with family, community, and employers. (MC)	NAVAUDSVC	May-11	
Periodic Health Assessment (PHA) Requirements for Navy Reserve Accessions (N2011-NFO000-0059.000). To verify that the Navy Reserve Forces Command (NAVRESFOR) is efficiently and effectively completing Periodic Health Assessment (PHA) requirements for Navy Reserve accessions. (MC)	NAVAUDSVC	Jun-11	
Navy Safe Harbor Non-Medical Care Management (N2011-NFO000-0051.000). To verify that the Navy Safe Harbor (SH) provides effective Non-Medical Care Management (NMCM) to Wounded, Ill, and Injured (WII) Sailors, their families, and caregivers throughout the recovery phase. (MC)	NAVAUDSVC	Jul-11	

(MC) = project impacts Multiple Countries

PAKISTAN

Other ONGOING

PROJECT	AGENCY	START	FINAL
Audit of USAID/Pakistan's Federally Administered Tribal Areas Livelihood Development Program as Implemented by CHF International Objective: Is USAID/Pakistan's Federally Administered Tribal Areas Livelihood Development Program as implemented by CHF International achieving its main goals?	USAID OIG	May-10	Dec-10
Audit of USAID/Pakistan's Federally Administered Tribal Areas Livelihood Development Program as Implemented by Academy for Education Development Objective: Is USAID/Pakistan's Federally Administered Tribal Areas Livelihood Development Program as implemented by Academy for Educational Development achieving its main goals?	USAID OIG	May-10	Dec-10
Audit of USAID/Pakistan's Flood Relief Efforts as Administered by Local Nongovernmental Organizations Objective: Are USAID/Pakistan's flood relief efforts as administered by local nongovernmental organizations achieving their targets?	USAID OIG	Sep-10	Jan-11

Training & Equipping National Security Forces

ONGOING

PROJECT	AGENCY	START	FINAL
MERO: Review of the Bureau of Diplomatic Security (DS) Pakistan Anti-Terrorism Awareness (ATA) Training Program (Performance Evaluation). Purpose: To provide an overall review and summary of the requirements, provisions, and costs of the Pakistan Anti-Terrorism Awareness (ATA) Training Program; goals and objectives of the program, what indicators have been established to measure performance; and how DS administers the program to ensure performance and costs of the program are met. Assess the status of program records, management controls, costs, and value of this program to the mission of the Department of State.	DoS OIG	Feb-11	Sep-11

Warfare Support

ONGOING

PROJECT	AGENCY	START	FINAL
US Security Assistance to Pakistan (320684). The Security Development Plan, implemented by the Department of Defense, is a key U.S. initiative to enhance Pakistan's counterinsurgency capabilities in the tribal and frontier areas bordering Afghanistan. About \$500 million has been allocated for this initiative to date with efforts underway to greatly enhance future U.S. assistance to Pakistan. Key Questions: (1) How much funding has been appropriated, obligated, and expended under the plan? (2) What are the plan's current and future objectives, and what has been accomplished to date? (3) What management and oversight controls are in place to ensure that this assistance is used as intended? (IAT)	GAO	Jun-09	

PROJECT	AGENCY	START	FINAL
Pakistan Assistance Strategy Report. (320777) The Enhanced Partnership with Pakistan Act requires GAO to (1) review the Pakistan Assistance Strategy Report, (2) make recommendations relating to any actions needed to improve the efficiency and effectiveness of U.S. efforts to meet the objectives of the Act, (3) provide a detailed description of the expenditures made by Pakistan pursuant to grant assistance under section 23 of the Arms Export Control Act (22 U.S.C. 2763; relating to the Foreign Military Financing program), and (4) assess the impact of the assistance on the security and stability of Pakistan. (IAT)	GAO	Apr-10	
Pakistan's Anti-terror Efforts (320790). The Enhanced Partnership with Pakistan Act of 2009 prohibits military assistance and arms transfers to Pakistan in fiscal years 2011-2014 unless the Secretary of State, under the direction of the President, certifies for Congress that Pakistan is making significant progress in combating terrorist groups and Pakistan's security forces are not subverting the country's political and judicial processes. The Act requires GAO to independently assess this certification not later than 120 days after the date the Secretary of State makes the certification. GAO will assess the extent to which (1) officials assessed and disclosed the reliability of evidence used to support the certification; (2) conclusions in the certification are consistent with the views of experts, academia, and other US agencies, or differences are explained; and (3) there are gaps in the issues considered in making the certification. (IAT)	GAO	Jul-10	

PROJECT	AGENCY	START	FINAL
Pakistan Nuclear Networks (320783). The Enhanced Partnership with Pakistan Act of 2009 prohibits military assistance and arms transfers to Pakistan in fiscal years 2011-2014 unless the Secretary of State, under the direction of the President, certifies for Congress that the Government of Pakistan is continuing to cooperate with the United States in efforts to dismantle supplier networks relating to the acquisition of nuclear weapons-related materials, such as providing relevant information from or direct access to Pakistani nationals associated with such networks. The Act requires GAO to independently assess this certification not later than 120 days after the date the Secretary of State makes the certification. GAO will assess the extent to which (1) officials assessed and disclosed the reliability of evidence used to support the certification; (2) conclusions in the certification are consistent with the views of experts, academia, and other US agencies, or differences are explained; and (3) there are gaps in the issues considered in making the certification. (IAT)	GAO	Jul-10	

Education & Healthcare

PLANNED

PROJECT	AGENCY	START	FINAL
Audit of USAID/Pakistan's Pre-Service Teacher Education Program Objective: Is the USAID/Pakistan Pre-Service Teacher Education Program helping teachers improve their professional qualifications?	USAID OIG	Nov-10	Mar-11
Audit of USAID/Pakistan's Emergency Cash Transfer Program – University and Technical Education Component Objective: Are the funds provided to the Government of Pakistan under USAID/Pakistan's Emergency Cash Transfer Program for the University and Technical Education component being used for their intended purpose of providing budget support?	USAID OIG	Jan-11	May-11

Funds Control

PLANNED

PROJECT	AGENCY	START	FINAL
MERO: Review of Internal Management Controls of Funds Provided to Embassy Islamabad (Program Evaluation). Purpose: To determine whether specific internal control procedures have been properly designed and put in place for all the program funds to include procedures for planning, organizing, directing, and controlling program operations, and the system put in place for measuring, reporting, and monitoring program performance.	DoS OIG	Mar-11	Sep-11
Review of Internal Management Controls of Funds Provided to Embassy Islamabad Purpose: To determine whether specific internal control procedures have been properly designed and put in place for all the program funds to include procedures for planning, organizing, directing, and controlling program operations, and the system put in place for measuring, reporting, and monitoring program performance.	DoS OIG		

Government Capacity Building

PLANNED

PROJECT	AGENCY	START	FINAL
Audit of USAID/Pakistan's Pre-award Assessment Process of Host Government and Local Organizations in Pakistan Objective: Did USAID/Pakistan's pre-award assessment process provide a reasonable basis of identifying significant vulnerabilities that could result in waste or misuse of U.S. Government resources and if significant vulnerabilities were identified, were they corrected in a timely fashion?	USAID OIG	Nov-10	Mar-11

Infrastructure

PLANNED

PROJECT	AGENCY	START	FINAL
Audit of USAID/Pakistan's Road Rehabilitation and Reconstruction Activities Under the Quick Impact Projects in South Waziristan Objective: Is USAID/Pakistan's road rehabilitation and reconstruction activities under the Quick Impact Projects in South Waziristan achieving its main goal of rebuilding selected roads?	USAID OIG	Oct-10	Feb-11
Audit of USAID/Pakistan's Pakistan Firms Project Objective: Is USAID/Pakistan's Pakistan FIRMS Project achieving its main goals of strengthening the business sector and improving productivity and quality?	USAID OIG	Feb-11	Jun-11
Audit of USAID/Pakistan's Community Rehabilitation Infrastructure Support Program (CRISP) Objective: Is USAID/Pakistan's Community Rehabilitation Infrastructure Support Program (CRISP) achieving its main goal of constructing and renovating community infrastructure to improve the delivery of basic human services such as education, healthcare, water supply, sanitation, electricity, and transportation as well as promote economic growth in rural areas of Pakistan?	USAID OIG	Apr-11	Aug-11

Physical Security & Personnel Security

PLANNED

PROJECT	AGENCY	START	FINAL
Review of U. S. Mission Pakistan Local Security Guard Programs Purpose: To provide an overall review and summary of the requirements and provisions of the Islamabad Embassy Security Force contract; objectives of the contracts and task orders, what indicators have been established to measure performance; and how the Department administered the contract to oversee the contractor's performance and costs of the contract. Assess the status of contract records, management controls, cost, and value of this contract to the mission of the US Department of State.	DoS OIG	Mar-11	Oct-11
MERO: Review of Emergency Action Plan (EAP) of Embassies Baghdad, Kabul, and Islamabad (Program Evaluation). Purpose: To evaluate and assess the current status and effectiveness of the Emergency Action Plans for Embassies Baghdad, Kabul, and Islamabad to determine the reasonableness and their level of coordination and cooperation with the military commanders in-country. (MC)	DoS OIG	Jun-11	

(MC) = project impacts Multiple Countries

Reconstruction

PLANNED

PROJECT	AGENCY	START	FINAL
Audit of USAID/Pakistan's Energy Efficiency and Capacity Program Objective: Is USAID/Pakistan's Energy Efficiency and Capacity Program achieving its main goals of promoting energy efficiency and conservation practices to reduce demand and building the capacity of public sector organizations to better address the needs of the energy sector?	USAID OIG	Jan-11	May-11

KUWAIT

Accountability and Maintenance of Equipment

ONGOING

PROJECT	AGENCY	START	FINAL
Night Vision Equipment Management (F2010-FD3000-0034). Objective: Determine whether Air Force personnel effectively manage night vision equipment. Specifically, determine whether Air Force personnel (a) accurately determine night vision equipment requirements and correctly maintain associated authorizations to meet anticipated mission needs, and (b) properly account for on-hand night vision equipment assets. (MC)	AFAA	Mar-10	Feb-11
Small Arms and Light Weapons Management (F2010-FC4000-0028). Objective: Assess if property custodians properly manage small arms and light weapons. Specifically, assess if custodians accurately account for items, promptly report on-hand discrepancies, adequately store and protect items, and appropriately deploy small arms and weapons. (MC)	AFAA	Jul-10	Jul-11
Aircraft Fuels Servicing Equipment (F2010-FC4000-0033). Objective: Determine whether aircraft fuels servicing equipment is effectively managed. Specifically, evaluate whether personnel properly identify and support refueling truck and support equipment requirements, and accurately account for these items. (MC)	AFAA	Aug-10	Aug-11
Technical Order Management (F2011-FC2000-0025). Objective: Evaluate whether Air Force personnel effectively manage Technical Orders (TOs) and Time Compliance Technical Orders (TCTOs) in Southwest Asia. Specifically, determine if personnel effectively distribute Technical Orders and TCTOs and properly implement support equipment and parts TCTOs. [TDY footprint in AOR Jun - Aug 2011] (MC)	AFAA	Feb-11	Dec-11

(MC) = project impacts Multiple Countries

Contract Management

ONGOING

PROJECT	AGENCY	START	FINAL
Contracts Supporting Base Operations in Kuwait (D2009-D000AS-0266.000). Objective: Determine whether DoD properly managed and administered contracts supporting base operations in Kuwait. Specifically, DoD OIG will determine whether the contract management and administration complied with Federal and DoD policies. Report D-2010-085 addresses Kuwait contractors working in sensitive positions without security clearances or Common Access Cards.	DoD IG	Jul-09	Jun-11
Follow-Up Audit - U. S. Air Forces Central (AFCENT) Service Contract Management (F2010-FD3000-0430). Objective: Evaluate management actions taken in response to Report of Audit F2007-0005-FD3000, CENTAF Deployed Locations Services Contract Management, 20 April 2007. Specifically, assess whether AFCENT personnel properly validate contract requirements and adequately monitor contractor performance. (MC)	AFAA	Jul-10	Jun-11

Education & Healthcare

ONGOING

PROJECT	AGENCY	START	FINAL
Medical Aspects of Civilian Deployments (F2011-FD2000-0289). Objective: Determine whether the Air Force Medical Service (AFMS) effectively managed medical aspects of civilian deployments. Specifically, determine whether officials provided (a) appropriate pre- and post-deployment screening for DoD civilians and contractors and (b) proper medical support to deployed civilians. Further, determine whether officials effectively deployed civilians to augment the AFMS expeditionary force. [TDY footprint in AOR Jun - Aug 2011] (MC)	AFAA	Jan-11	Dec-11

(MC) = project impacts Multiple Countries

Funds Control

ONGOING

PROJECT	AGENCY	START	FINAL
Controls Over Vendor Payments - Southwest Asia (Phase II) (A-2009-ALL-0118.000). Objective: Determine if the Army has effective controls to ensure the accuracy of vendor payments for contingency operations in Southwest Asia.	AAA	Jan-09	Jun-11
Patriot Express (F2010-FC4000-0026). Objective: Determine if Air Force personnel properly utilized Patriot Express transportation. Specifically, determine if personnel use Patriot Express travel when available and properly justify purchasing commercial airline tickets instead of utilizing the Patriot Express. (MC)	AFAA	Feb-10	Feb-11
Internal Controls and Data Reliability in the Deployable Disbursing System (D2007-D000FL-0252.000) A series of reports is planned for this project. Objective: Determine whether the internal controls over transactions processed through the Deployable Disbursing System are adequate to ensure the reliability of the data processed. The audit series will include financial information processed by disbursing stations supporting the Global War on Terror and will also follow up on our "Internal Controls Over Out-of-Country Payments," (Project No. D2006-D000FL-0208.000). The first report, D-2009-054, addresses U.S. Marine Corps (USMC) processed disbursement transactions that contain classified information into unclassified DoD systems. D-2010-037 addresses internal controls of USMC commercial and miscellaneous payments processed through the Deployable Disbursing System. D-2010-038, (CLASSIFIED REPORT) addresses classified information in an unclassified DoD system. (MC)	DoD IG	Aug-10	Apr-11

(MC) = project impacts Multiple Countries

Infrastructure

ONGOING

PROJECT	AGENCY	START	FINAL
United States Air Forces Central (AFCENT) AOR Utilities (F2011-FD1000-0077). Objective: Determine whether AFCENT Civil Engineers effectively and efficiently manage utilities at AFCENT AOR locations. Specifically, determine if civil engineers provide adequate, safe, and cost-effective utility service. [TDY footprint in AOR Jun-Aug 2011] (MC)	AFAA	Nov-10	Jan-12

Physical Security & Personnel Security

ONGOING

PROJECT	AGENCY	START	FINAL
U. S. Air Forces Central (AFCENT) Deployed Locations Computer Network Controls (F2010-FB4000-0614). Objective: Determine whether AFCENT personnel effectively controlled access to the networks in the AFCENT Area of Responsibility. Specifically, determine whether AFCENT personnel properly (a) identify contractors and foreign nationals with access to AFCENT networks, (b) authorize network access for contractors and foreign nationals, and (c) establish network user accounts for contractors and foreign nationals. (MC)	AFAA	Jul-10	May-11

(MC) = project impacts Multiple Countries

Warfare Support

ONGOING

PROJECT	AGENCY	START	FINAL
Management Controls Over Payments for Overseas Contingency Operations Transportation- ARCENT (A-2011-ALL-0087.001). Objective: Does the Army have sufficient management controls to ensure ARCENT Overseas Contingency Operations funds are appropriately used for transportation requirements?	AAA	Oct-10	Aug-11
Management Controls over Department of Defense Activity Address Codes, U.S. Army Central Command (A-2011-ALL-0087.000). Objective: Determine if U.S. Army Central Command's management controls over the assignment and use of DODAACs are sufficient to ensure appropriate use of overseas contingency operations funds.	AAA		Jul-11

Accountability and Maintenance of Equipment

PLANNED

PROJECT	AGENCY	START	FINAL
Property Accountability in Kuwait (A-2011-ALL-0194.000). Objectives: 1) Did the Army effectively perform inventories to enhance visibility by reporting property on hand and identifying and recording assets not on the property book or Stock Record Account? 2) Did the Army use the results of the inventories to effectively identify and report excesses, and identify and requisition shortages? 3) did commanders perform timely evaluations of supply operations and provide actionable recommendations to Army leaders?	AAA	Mar-11	Sep-11

PROJECT	AGENCY	START	FINAL
Management of Theater Provided Equipment Refurbishment (TPER) Program in Kuwait. Objective: Evaluate whether DoD is effectively and efficiently managing the Theatre Provided Equipment Refurbishment Program in Kuwait. Specifically, to determine whether Theatre Property Equipment is received, inspected, coded, and repaired in accordance with applicable guidance. We will also determine whether DoD is conducting appropriate oversight of the Theatre Provided Equipment Refurbishment contracts in accordance with Federal and DoD policies.	DoD IG	Mar-11	Sep-11

Services

PLANNED

PROJECT	AGENCY	START	FINAL
Logistics Civil Augmentation Program (LOGCAP) IV Operations - Kuwait (A-2011-ALL-0095.000). Objective: Determine if services awarded under LOGCAP IV in Kuwait were managed in a reasonable and cost-effective manner.	AAA	Aug-11	

Warfare Support

PLANNED

PROJECT	AGENCY	START	FINAL
Army Prepositioned Stocks (APS-5), Requirement Determination Processes (A-2011-ALL-0094.000). Objectives: Determine whether (1) the requirements for Army Prepositioned Stock requirements, including those for Class IX items, were supported by strategic plans and consistent with established mission sets and operational projects. 2) Army Prepositioned Stock items, including Class I and VIII items, were properly managed and maintained so that readiness goals were achieved.	AAA	Jun-11	Feb-12

QATAR

Accountability and Maintenance of Equipment

ONGOING

PROJECT	AGENCY	START	FINAL
Night Vision Equipment Management (F2010-FD3000-0034). Objective: Determine whether Air Force personnel effectively manage night vision equipment. Specifically, determine whether Air Force personnel (a) accurately determine night vision equipment requirements and correctly maintain associated authorizations to meet anticipated mission needs, and (b) properly account for on-hand night vision equipment assets. (MC)	AFAA	Mar-10	Feb-11
Small Arms and Light Weapons Management (F2010-FC4000-0028). Objective: Assess if property custodians properly manage small arms and light weapons. Specifically, assess if custodians accurately account for items, promptly report on-hand discrepancies, adequately store and protect items, and appropriately deploy small arms and weapons. (MC)	AFAA	Jul-10	Jul-11
Aircraft Fuels Servicing Equipment (F2010-FC4000-0033). Objective: Determine whether aircraft fuels servicing equipment is effectively managed. Specifically, evaluate whether personnel properly identify and support refueling truck and support equipment requirements, and accurately account for these items. (MC)	AFAA	Aug-10	Aug-11
Technical Order Management (F2011-FC2000-0025). Objective: Evaluate whether Air Force personnel effectively manage Technical Orders (TOs) and Time Compliance Technical Orders (TCTOs) in Southwest Asia. Specifically, determine if personnel effectively distribute Technical Orders and TCTOs and properly implement support equipment and parts TCTOs. [TDY footprint in AOR Jun - Aug 2011] (MC)	AFAA	Feb-11	Dec-11

(MC) = project impacts Multiple Countries

Contract Management

ONGOING

PROJECT	AGENCY	START	FINAL
Army and Navy Small Boats Maintenance Contracts (D2009-D000AS-0163.000). Objective: Determine whether contracts providing ship repair and maintenance to the U.S. Army operations in Bahrain and Navy operations in Bahrain, Qatar, and United Arab Emirates were properly managed and administered. Specifically, review competition, contract type, and contract oversight. Report D-2010-005 addresses an information security issue. Subsequent reports will address the audit objective. Report D-2010-064 addresses competition, price reasonableness determinations, and quality assurance controls in contracts for Army vessels maintenance in Kuwait.	DoD IG	Mar-09	Feb-11
Follow-Up Audit - U. S. Air Forces Central (AFCENT) Service Contract Management (F2010-FD3000-0430). Objective: Evaluate management actions taken in response to Report of Audit F2007-0005-FD3000, CENTAF Deployed Locations Services Contract Management, 20 April 2007. Specifically, assess whether AFCENT personnel properly validate contract requirements and adequately monitor contractor performance. (MC)	AFAA	Jul-10	Jun-11

(MC) = project impacts Multiple Countries

Education & Healthcare

ONGOING

PROJECT	AGENCY	START	FINAL
Medical Aspects of Civilian Deployments (F2011-FD2000-0289). Objective: Determine whether the Air Force Medical Service (AFMS) effectively managed medical aspects of civilian deployments. Specifically, determine whether officials provided (a) appropriate pre- and post-deployment screening for DoD civilians and contractors and (b) proper medical support to deployed civilians. Further, determine whether officials effectively deployed civilians to augment the AFMS expeditionary force. [TDY footprint in AOR Jun - Aug 2011] (MC)	AFAA	Jan-11	Dec-11

(MC) = project impacts Multiple Countries

Funds Control

ONGOING

PROJECT	AGENCY	START	FINAL
Patriot Express (F2010-FC4000-0026). Objective: Determine if Air Force personnel properly utilized Patriot Express transportation. Specifically, determine if personnel use Patriot Express travel when available and properly justify purchasing commercial airline tickets instead of utilizing the Patriot Express.	AFAA	Feb-10	Feb-11

Infrastructure

ONGOING

PROJECT	AGENCY	START	FINAL
United States Air Forces Central (AFCENT) Area Of Responsibility Construction Planning (F2011-FD1000-0078). Objective: Determine whether AFCENT Civil Engineers coordinate MILCON projects with the proper command at joint bases to facilitate the Base Master Plan. Specifically, determine whether Air Force personnel (a) identified the proper command, agency, or joint structure to oversee the joint base master planning effort, (b) identified and analyzed barriers to proper and adequate master planning, and (c) recommend the optimal alignment of responsibility and authority for the joint base operating support integrator (BOS-I) command structure. (MC)	AFAA	Oct-10	Mar-11
United States Air Forces Central (AFCENT) AOR Utilities (F2011-FD1000-0077). Objective: Determine whether AFCENT Civil Engineers effectively and efficiently manage utilities at AFCENT AOR locations. Specifically, determine if civil engineers provide adequate, safe, and cost-effective utility service. [TDY footprint in AOR Jun-Aug 2011] (MC)	AFAA	Nov-10	Jan-12

(MC) = project impacts Multiple Countries

Physical Security & Personnel Security

ONGOING

PROJECT	AGENCY	START	FINAL
U. S. Air Forces Central (AFCENT) Deployed Locations Computer Network Controls (F2010-FB4000-0614). Objective: Determine whether AFCENT personnel effectively controlled access to the networks in the AFCENT Area of Responsibility. Specifically, determine whether AFCENT personnel properly (a) identify contractors and foreign nationals with access to AFCENT networks, (b) authorize network access for contractors and foreign nationals, and (c) establish network user accounts for contractors and foreign nationals. (MC)	AFAA	Jul-10	May-11

Accountability and Maintenance of Equipment

PLANNED

PROJECT	AGENCY	START	FINAL
United States Air Forces Central (AFCENT) Storage Container Management (F2011-FD3000-0039). Objective: Evaluate whether Air Force personnel effectively manage AOR storage container requirements and adequately account for, control, and maintain containers. Further, determine whether the Air Force requires container contents for mission operations. [TDY footprint in AOR Jun-Aug 2011] (MC)	AFAA	Jan-11	Dec-11

(MC) = project impacts Multiple Countries

Services**PLANNED**

PROJECT	AGENCY	START	FINAL
Contract Administration of the Prime Vendor Program for Subsistence in Support of Operation Enduring Freedom. Objective: Evaluate the prime vendor's program for subsistence in support of operation enduring freedom. Specifically, to review whether the assignment of contracting officer representatives and execution of the quality assurance surveillance plan and procedures were effective for assessing contractor performance. (MC)	DoD IG	Aug-11	

(MC) = project impacts Multiple Countries

All Other Countries

Accountability and Maintenance of Equipment

ONGOING

PROJECT	AGENCY	START	FINAL	COUNTRY
Aircraft Fuels Servicing Equipment (F2010-FC4000-0033). Objective: Determine whether aircraft fuels servicing equipment is effectively managed. Specifically, evaluate whether personnel properly identify and support refueling truck and support equipment requirements, and accurately account for these items. (MC)	AFAA	Aug-10	Aug-11	AFGHANISTAN, IRAQ, KUWAIT, KYRGYZSTAN, QATAR

(MC) = project impacts Multiple Countries

Contract Management

ONGOING

PROJECT	AGENCY	START	FINAL	COUNTRY
DoD Body Armor Contracts (D2008-D000CD-0256.000). This project is the result of a Congressional request. Objective: Examine the contracts and contracting process for body armor and related test facilities. Specific objectives will include evaluating the background and qualifications of the contractors, the criteria for awarding the contracts, the quality assurance process, and any relationships that may exist between the contractors and Government officials. Our review of the quality assurance process will include reviewing the results of First Article Testing and Lot Acceptance Testing for the body armor contracts. Report D-2010-029 addresses DoD contractor qualification and selection criteria for body armor contracts. <u>Report D-2011-030 addresses ballistic testing and product quality surveillance for the Interceptor Body Armor-Vest Components.</u>	DoD IG	Aug-08	May-11	ALL
Logistics Civil Augmentation Program (LOGCAP) III, Contract Close-out (A-2009-ALC-0093.000). Objective: Did the Army have procedures and controls in place to effectively close-out the Logistics Civil Augmentation Program (LOGCAP) III contract to ensure proper payment of its legitimate liabilities and deobligations of unused funds?	AAA	Oct-08	Mar-11	CONUS

PROJECT	AGENCY	START	FINAL	COUNTRY
Human Capital Issues - Current Plans (Reachback Capabilities) (A-2010-ALC-0125.003). Objective: Did the Army maximize the use of reachback capabilities to mitigate contracting personnel shortages?	AAA	Jun-10	May-11	CONUS
Expeditionary Contracting Units (A-2011-ALC-0170.000). Objective: Did the Army take needed actions to staff and train Expeditionary Contracting Units?"	AAA	Feb-11	Aug-11	GLOBAL
Follow-Up Audit - U. S. Air Forces Central (AFCENT) Service Contract Management (F2010-FD3000-0430). Objective: Evaluate management actions taken in response to Report of Audit F2007-0005-FD3000, CENTAF Deployed Locations Services Contract Management, 20 April 2007. Specifically, assess whether AFCENT personnel properly validate contract requirements and adequately monitor contractor performance. (MC)	AFAA	Jul-10	Jun-11	IRAQ, KUWAIT, KYRGYZSTAN, QATAR, UNITED ARAB EMIRATES

(MC) = project impacts Multiple Countries

PROJECT	AGENCY	START	FINAL	COUNTRY
Review of the Activities of DynCorp under the Bureau of International Narcotics and Law Enforcement Affairs (INL) Security Sector Reform Program in Support of the Palestinian Authority. (Contract Evaluation). Purpose: The primary objectives of this review are to determine: (1) the requirements and provisions of the contract; (2) if contract performance measures have been established and are being achieved; (3) how well INL administers the contract to provide proper oversight of DynCorp's performance; and (4) whether DynCorp is performing effectively.	DoS OIG	Feb-10	Mar-11	PALESTINIAN TERRITORIES
Review of the Bureau of International Narcotics and Law Enforcement Affairs (INL) Infrastructure Activities in Support of the Palestinian Authority (PA) Security Forces (Contract Evaluation) Purpose: The primary purpose is to determine whether INL is effectively managing and overseeing the construction of National Security Forces training camps and garrisons. This review will assess whether comprehensive independent government cost estimates are being performed, the effectiveness of the Project Implementation Unit to manage the construction projects, and whether contractors are properly vetted.	DoS OIG	Feb-10	Mar-11	PALESTINIAN TERRITORIES

PROJECT	AGENCY	START	FINAL	COUNTRY
Controls and Processes Pertaining to the Defense Logistics Agency's Procurement Automated Contract Evaluation System (D2010-D000LD-0264.000). Objective: Determine whether the Defense Logistics Agency (DLA) supply centers awarded contracts through the Procurement Automated Contract Evaluation System (PACE) to suppliers who offered the best value, determine whether DLA has a process to validate that prices were fair and reasonable, and determine whether the supply centers uniformly applied the controls and rules governing PACE awards.	DoD IG	Aug-10	Aug-11	SWA
Army Warfighter Field Operations Customer Support Contract (D2010-D000AS-0266.000). Objective: For this initial project, determine whether Army officials are translating mission requirements into appropriate contractual instruments and are conducting appropriate oversight of the Warfighter FOCUS contract in accordance with Federal and DoD policies. Specifically, we will determine whether contracting officials adhered to Federal and DoD policies for subcontracting in a contingency environment while administering the Warfighter FOCUS contract.	DoD IG	Aug-10		SWA

Education & Healthcare**ONGOING**

PROJECT	AGENCY	START	FINAL	COUNTRY
Assessment of Wounded Warrior Matters (D2010-D00SPO-0209.000). Objective: Determine whether the DoD programs for the care, management and transition of recovering service members wounded during deployment in OIF and OEF are managed effectively and efficiently (Fort Sam Houston Warrior Transition Unit).	DoD IG	Apr-10	Feb-11	CONUS
Assessment of Wounded Warrior Matters (D2010-D00SPO-0209.001). Objective: Determine whether the DoD programs for the care, management and transition of recovering service members wounded during deployment in OIF and OEF are managed effectively and efficiently (Fort Drum Warrior Transition Unit).	DoD IG	Apr-10	Mar-11	CONUS
Assessment of Wounded Warrior Matters (D2010-D00SPO-0209.002). Objective: Determine whether the DoD programs for the care, management and transition of recovering service members wounded during deployment in OIF and OEF are managed effectively and efficiently (Camp LeJuene Wounded Warrior Battalion).	DoD IG	Apr-10	Mar-11	CONUS
Assessment of Wounded Warrior Matters. Objective: Determine whether the DoD programs for the care, management and transition of recovering service members wounded during deployment in OIF and OEF are managed effectively and efficiently (Joint Base Lewis-McChord Warrior Transition Unit).	DoD IG	Apr-10	Jun-11	CONUS

PROJECT	AGENCY	START	FINAL	COUNTRY
Assessment of Wounded Warrior Matters. Objective: Determine whether the DoD programs for the care, management and transition of recovering service members wounded during deployment in OIF and OEF are managed effectively and efficiently (Camp Pendleton Wounded Warrior Battalion).	DoD IG	Apr-10	Sep-11	CONUS
Assessment of Wounded Warrior Matters. Objective: Determine whether the DoD programs for the care, management and transition of recovering service members wounded during deployment in OIF and OEF are managed effectively and efficiently (Fort Carson Warrior Transition Unit).	DoD IG	Apr-10	Sep-11	CONUS

Equipment & Property

ONGOING

PROJECT	AGENCY	START	FINAL	COUNTRY
Utilization of Navy Medical Assets (N2008-NFO000-0137.000). Objective: To verify that Navy medical assets are being used effectively.	NAVAUDSVC	Aug-08	Apr-11	OTHER

Funds Control

ONGOING

PROJECT	AGENCY	START	FINAL	COUNTRY
Fiscal Year 2008 Marine Corps Global War on Terror-Related Costs Processed through the Standard Accounting, Budgeting and Reporting System (D2009-D000FG-0183.000). Objective: Determine whether the Marine Corps accurately reported FY 2008 costs related to the Global War on Terror. We will review whether Marine Corps documentation substantiates operation and maintenance obligations processed through the Standard Accounting, Budgeting and Reporting System.	DoD IG	Apr-09	Apr-11	GLOBAL

Human Rights

ONGOING

PROJECT	AGENCY	START	FINAL	COUNTRY
Review of the Trafficking in Persons (TIP) for the LAVANT Region (Performance Evaluation) Purpose: Review of selected Department contracts in the Middle East Region to evaluate and assess the current status and effectiveness of US contractors complying with full disclosure in recruiting, hiring, and treatment of foreign workers employed by US contractors and subcontractors within the four countries of the LAVANT Region – Syria, Jordan, Lebanon, and Israel.	DoS OIG	Aug-10	Feb-11	SYRIA, JORDAN, LEBANON, ISRAEL

Infrastructure

ONGOING

PROJECT	AGENCY	START	FINAL	COUNTRY
United States Air Forces Central (AFCENT) Area Of Responsibility Construction Planning (F2011-FD1000-0078). Objective: Determine whether AFCENT Civil Engineers coordinate MILCON projects with the proper command at joint bases to facilitate the Base Master Plan. Specifically, determine whether Air Force personnel (a) identified the proper command, agency, or joint structure to oversee the joint base master planning effort, (b) identified and analyzed barriers to proper and adequate master planning, and (c) recommend the optimal alignment of responsibility and authority for the joint base operating support integrator (BOS-I) command structure. (MC)	AFAA	Oct-10	Mar-11	AFGHANISTAN, QATAR, UNITED ARAB EMIRATES

(MC) = project impacts Multiple Countries

PROJECT	AGENCY	START	FINAL	COUNTRY
United States Air Forces Central (AFCENT) AOR Utilities (F2011-FD1000-0077). Objective: Determine whether AFCENT Civil Engineers effectively and efficiently manage utilities at AFCENT AOR locations. Specifically, determine if civil engineers provide adequate, safe, and cost-effective utility service. [TDY footprint in AOR Jun-Aug 2011] (MC)	AFAA	Nov-10	Jan-12	AFGHANISTAN, KUWAIT, QATAR, UNITED ARAB EMIRATES

(MC) = project impacts Multiple Countries

Physical Security & Personnel Security ONGOING

PROJECT	AGENCY	START	FINAL	COUNTRY
U. S. Air Forces Central (AFCENT) Deployed Locations Computer Network Controls (F2010-FB4000-0614). Objective: Determine whether AFCENT personnel effectively controlled access to the networks in the AFCENT Area of Responsibility. Specifically, determine whether AFCENT personnel properly (a) identify contractors and foreign nationals with access to AFCENT networks, (b) authorize network access for contractors and foreign nationals, and (c) establish network user accounts for contractors and foreign nationals.	AFAA	Jul-10	May-11	AFGHANISTAN, IRAQ, KUWAIT, KYRGYZSTAN, QATAR, UNITED ARAB EMIRATES

Training & Equipping National Security Forces

ONGOING

PROJECT	AGENCY	START	FINAL	COUNTRY
Regional Review of the Bureau of Diplomatic Security (DS) Anti-Terrorism Assistance (ATA) Training Program Purpose: To provide an overall review and summary of the requirements, provisions, and costs of the Bureau of Diplomatic Security (DS) Anti-Terrorism Awareness (ATA) Training Program; goals and objectives of the program, what indicators have been established to measure performance; and how DS administers the program to ensure performance and costs of the program are met. Assess the status of program records, management controls, costs, and value of this program to the mission of the Department of State.	DoS OIG	Feb-11		OTHER
Review of the Bureau of International Narcotics and Law Enforcement Affairs (INL) Training Programs of the Palestinian Authority (PA) Security Forces. (Performance Evaluation). Purpose: The primary purpose is to determine whether INL is effectively managing and overseeing the contracts, grants and bi-lateral agreements implementing training of the Palestinian Authority National Security Forces and Presidential Guard in Jordan and the West Bank. This review will assess the effectiveness of the training programs, its compliance to INL's mandate to provide law enforcement training and the Palestinian Authority's ability to sustain the program.	DoS OIG	Jun-10	Mar-11	PALESTINIAN TERRITORIES

Warfare Support

ONGOING

PROJECT	AGENCY	START	FINAL	COUNTRY
Improvised Explosive Device Defeat (IED-D) Home Station Training (A-2010-FFF-0372.000). Objectives: Determine whether (1) home station training is effectively providing Soldiers proficiency in IED-D tasks in a counter insurgency environment and (2) home station training facilities including training aids, devices and simulators are sufficient to conduct effective IED-D individual and unit training.	AAA	Mar-10	Jun-11	CONUS
Follow-up Audit of Automatic Reset Induction (A-2010-ALM-0394.000). Objective: Evaluate whether the Army fully implemented recommendations addressed in the two quick reaction reports (A-2009-0071-ALM and A-2009-0077-ALM) and whether those recommendations corrected the reported problems.	AAA	Apr-10	Apr-11	CONUS
Reporting of Marine Corps Personnel Mishaps (N2010-NIA000-0039.002). Objective: To verify that the Marine Corps' safety mishap reporting processes provide complete, accurate, and readily accessible data for use in analyzing trends and decision making within I MEF and II MEF and selected installations within Marine Corps Bases, Atlantic and Pacific.	NAVAUDSVC	Nov-09	Jan-11	GLOBAL

Accountability and Maintenance of Equipment

PLANNED

PROJECT	AGENCY	START	FINAL	COUNTRY
Property Accountability in CONUS (A-2011-ALL-0346.000). Objective: Determine if command has sufficient policies, processes, and procedures to account for sensitive item shipments from CONUS to Afghanistan.	AAA	Mar-11	Dec-11	CONUS

PROJECT	AGENCY	START	FINAL	COUNTRY
United States Air Forces Central (AFCENT) Storage Container Management (F2011-FD3000-0039). Objective: Evaluate whether Air Force personnel effectively manage AOR storage container requirements and adequately account for, control, and maintain containers. Further, determine whether the Air Force requires container contents for mission operations. [TDY footprint in AOR Jun-Aug 2011] (MC)	AFAA	Jan-11	Dec-11	OMAN, QATAR, UNITED ARAB EMIRATES
Return of Assets from SWA - CONUS (A-2011-ALL-0136.000). Objective: Determine if management controls over assets returned from Southwest Asia were sufficient to ensure units only retained authorized quantities and properly reported excess materiel for redistribution.	AAA	Jun-11		OTHER

(MC) = project impacts Multiple Countries

Agriculture & Counternarcotics

PLANNED

PROJECT	AGENCY	START	FINAL	COUNTRY
Audit of USAID/Tajikistan's Productive Agriculture Program Objective: Is USAID/Tajikistan's Productive Agriculture Program achieving its main goal of increasing the productivity of traditional agricultural crops and expanding the agricultural profitability of farmers?	USAID OIG	Apr-11	Aug-11	TAJIKISTAN

Cross Cutting**PLANNED**

PROJECT	AGENCY	START	FINAL	COUNTRY
Human Resource Offices Outside of the United States (N2011-NMC000-0109.000). To verify that (1) legal and regulatory requirements concerning labor affairs between the U.S. Forces and the respective country are properly executed; (2) internal controls are in place to ensure hiring practices of local nationals (“indirect hires”) and resulting personnel actions are executed per established policies and procedures; and (3) the appropriate authority has been granted to the individuals responsible for the processing of personnel actions.	NAVAUDSVC	Mar-11	Jan-12	TBD

Physical Security & Personnel Security**PLANNED**

PROJECT	AGENCY	START	FINAL	COUNTRY
Department of the Navy Transportation Protective Service Internal Controls (N2011-NMC000-0112.000). To verify that the effectiveness of Department of Navy (DON) internal controls for movement of sensitive and classified material under a transportation protective service.	NAVAUDSVC	Apr-11	Feb-12	OTHER
DON Reporting and Tracking of Sexual Assault (N2011-NFO000-0050.000). To verify that DON is effectively obtaining, analyzing & using sexual assault data to prevent sexual assaults in the Navy.	NAVAUDSVC	Aug-11		OTHER
Foreign National Employees' System Access (N2011-NFA000-0082.000). To verify that Foreign Nationals have the proper background investigation prior to being given access to DON information.	NAVAUDSVC	Apr-11		TBD

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Services

PLANNED

PROJECT	AGENCY	START	FINAL	COUNTRY
Contract Administration of the Prime Vendor Program for Subsistence in Support of Operation Enduring Freedom. Objective: Evaluate the prime vendor's program for subsistence in support of operation enduring freedom. Specifically, to review whether the assignment of contracting officer representatives and execution of the quality assurance surveillance plan and procedures were effective for assessing contractor performance. (MC)	DoD IG	Oct-10		BAHRAIN, QATAR, UNITED ARAB EMIRATES

(MC) = project impacts Multiple Countries

**APPENDIX A. BREAKOUT OF FY 2011 ONGOING AND PLANNED
OVERSIGHT WITHIN FUNCTIONAL AREAS**

FUNCTIONAL AREA (Subcategories)	ONGOING	PLANNED
Security		
Accountability and Maintenance of Equipment	19	10
Physical Security and Personnel Security	4	6
Training and Equipping National Security Forces	11	10
Warfare Support	23	5
Governance, Rule of Law and Human Rights		
Anticorruption	3	1
Government Capacity Building	6	8
Human Rights	1	2
Refugee Assistance	1	0
Economic and Social Development		
Agriculture and Counternarcotics	2	4
Education and Healthcare	11	5
Infrastructure	6	8
Reconstruction	4	5
Contracting Oversight and Performance		
Contract Management	36	18
Equipment and Property	3	6
Funds Control	16	12
Services	3	5
Crosscutting and Other		
Crosscutting	14	3
Other	4	4
<i>Federal Oversight Efforts contained in the FY 2011 COPSWA</i>	167	112

APPENDIX B. DCAA Contract Audits and USAID OIG Planned Financial Audits

Contract Audits

DCAA

DCAA's services include professional advice to acquisition officials on accounting and financial matters to assist them in the negotiation, award, administration, and settlement of contracts.

REQUESTED AUDITS

Many of DCAA's audits are performed at the request of contracting officers and are most commonly performed during the negotiation and award phase. DCAA has no control over the number or timing of these audits and must immediately respond to the audit requests as its top priority. DCAA issued 213 of these reports between October 1, 2009, and September 30, 2010, and anticipates issuing a similar number of reports in FY 2011. The major categories of audit services are described below.

- **Price Proposals** – Audits of price proposals submitted by contractors in connection with the award, modification, or re-pricing of Government contracts or subcontracts.
- **Pre-award Accounting Surveys** – Pre-award audits to determine whether a contractor's accounting system is acceptable for segregating and accumulating costs under Government contracts.
- **Other Special Requested Audits** – Audit assistance provided in response to special requests from the contracting community based on identified risks.

CONTRACT REQUIRED AUDITS

DCAA's audits of cost-reimbursable contracts represent a continuous effort from evaluation of proposed prices to final closeout and payment. DCAA is able to plan the extent and timing of most of the audits performed after the initial contract award. Audits of contractor business system internal controls and preliminary testing of contract costs are carried out to provide a basis for provisional approval of contractor interim payments and early detection of deficiencies. Comprehensive contract cost audits are performed annually throughout the life of the contract and are used by the contracting activity to adjust provisionally approved interim payments and ultimately to negotiate final payment to the contractor. DCAA issued 253 of these reports between October 1, 2009, and September 30, 2010, and anticipates issuing a similar number of reports in FY 2011 in the following categories.

DESCRIPTION OF AUDIT AREA	
Incurred Cost (1)	48
Labor Timekeeping (2)	59
Internal Controls (3)	40
Purchase Existence and Consumption (4)	17
Cost Accounting Standards (5)	52
Other (6)	37
Total	253

- (1) **Incurred Cost** – Audits of costs charged to Government contracts to determine whether they are allowable, allocable, and reasonable.
- (2) **Labor Timekeeping** – Audits to determine if the contractor consistently complies with established timekeeping system policies and procedures for recording labor costs.
- (3) **Internal Controls** – Audits of contractor internal control systems relating to the accounting and billing of costs under Government contracts.
- (4) **Purchase Existence and Consumption** – The physical observation of purchased materials and services and related inquiries regarding their documentation and verification of contract charges.
- (5) **Cost Accounting Standards** – Audits of Contractor Disclosure Statements and compliance with Cost Accounting Standards.
- (6) **Other** – Significant types of other audit activities include compliance with the Truth in Negotiations Act, and audits of economy and efficiency of contractor operations.

Planned Financial Audits

USAID OIG

USAID OIG Input Concerning Planned Financial Audits in FY 2011 for Iraq, Pakistan, and the Rest of Asia

Planned Financial Audits – Iraq

In addition to performance audits, the OIG Country Office in Iraq will also conduct financial audits. Financial audit objectives include determining whether (1) costs incurred and billed by the recipient are allowable, allocable, and reasonable; (2) the recipient's internal control structure is adequate; and (3) the recipient complies with agreement terms and applicable laws and regulations. Audits are performed by the Defense Contract Audit Agency at the request of OIG, who then reviews and issues the reports to USAID with applicable recommendations.

Planned Financial Audits – Pakistan

In addition to performance audits, the OIG Country Office in Pakistan will also conduct financial audits. Financial audit objectives include determining whether (1) costs incurred and billed by the recipient are allowable, allocable, and reasonable; (2) the recipient's internal control structure is adequate; and (3) the recipient complies with agreement terms and applicable laws and regulations. The Defense Contract Audit Agency or non-Federal auditors perform these audits. The OIG reviews and issues the reports to USAID with applicable recommendations.

Planned Financial Audits – Rest of Asia

In addition to performance audits, the Regional Inspector General's office in Manila will also conduct financial audits. Financial audit objectives include determining whether (1) costs incurred and billed by the recipient are allowable, allocable, and reasonable; (2) the recipient's internal control structure is adequate; and (3) the recipient complies with agreement terms and applicable laws and regulations. Financial audits are conducted primarily of non-U.S. based organizations throughout the rest of Asia by non-Federal auditors. Financial audit reports conducted by non-Federal auditors are reviewed by the OIG office in Manila, Philippines, and the reports transmitted with applicable recommendations to the responsible USAID mission in the region.

APPENDIX C. SECTION 852 PROJECTS

The FY2009 National Defense Authorization Act (P.L. 110-417) section 852, “Comprehensive Audit of Spare Parts Purchases and Depot Overhaul and Maintenance of Equipment for Operations in Iraq and Afghanistan,” and therefore are not included in the overall count of projects but are identified below.

PROJECT	AGENCY	START	FINAL	COUNTRY
Maintenance and Support of the Mine Resistant Ambush Protected Vehicle (D2009-D000CK-0100.000). Objective: Determine whether Mine Resistant Ambush Protected vehicle program and contracting officials are adequately supporting Resistant Ambush Protected vehicle maintenance requirements and appropriately awarding and administering maintenance contracts. A series of reports is planned for this project. Report No. D-2010-068 addresses government oversight of field service representative and instructor services in support of the MRAP program. Report D-2010-068 addresses government oversight of field service representative and instructor services in support of the MRAP program. <u>Report D-2011-036 addresses competition for instructor services for the MRAP.</u>	DoD IG	Dec-08	Apr-11	IRAQ

PROJECT	AGENCY	START	FINAL	COUNTRY
Army and Navy Small Boats Maintenance Contracts (D2009-D000AS-0163.000). Objective: Determine whether contracts providing ship repair and maintenance to the U.S. Army operations in Bahrain and Navy operations in Bahrain, Qatar, and United Arab Emirates were properly managed and administered. Specifically, review competition, contract type, and contract oversight. Report D-2010-005 addresses an information security issue. Subsequent reports will address the audit objective. Report D-2010-064 addresses competition, price reasonableness determinations, and quality assurance controls in contracts for Army vessels maintenance in Kuwait.	DoD IG	Mar-09	Feb-11	BAHRAIN, QATAR, UNITED ARAB EMIRATES
Maintenance of U.S. Navy Aegis-Equipped Ships (N2009-NIA000-0116.000). Objective: To verify that the Navy's maintenance program for U.S. Navy Aegis-equipped ships is effectively designed and implemented and has sufficient oversight to achieve the desired results.	NAVAUDSVC	Oct-09	Nov-10	GLOBAL
Material Unit Prices Charged to Corpus Christi Army Depot by Boeing Company in Fiscal Year 2008 (D2010-D000CH-0077.000). Objective: Determine whether the unit prices paid for material acquired through partnership agreements in Fiscal Year 2008 between Corpus Christi Army Depot and the Boeing Company were fair and reasonable and in accordance with the contract.	DoD IG	Nov-09	Apr-11	CONUS

PROJECT	AGENCY	START	FINAL	COUNTRY
Material Unit Prices Charged to Corpus Christi Army Depot by Sikorski International in Fiscal Year 2008 (D2010-D000CH-0077.0001). Objective: Determine whether the unit prices paid for material acquired through partnership agreements in Fiscal Year 2008 between Corpus Christi Army Depot and Sikorski were fair and reasonable and in accordance with the contract.	DoD IG	Nov-09	Sep-11	CONUS
USMC Equipment Accountability at 1st Marine Expeditionary Brigade (I MEF) (N2010-NIA000-0038.002) Objective: To verify that USMC equipment efficiently and effectively managed, and to ensure that units in the field are accurately accounting for the equipment they have been assigned.	NAVAUDSVC	Jan-10	Jan-11	OTHER
USMC Equipment Accountability at 2nd Marine Expeditionary Brigade (II MEF) (N2010-NIA000-0038.001) Objective: To verify that USMC equipment efficiently and effectively managed, and to ensure that units in the field are accurately accounting for the equipment they have been assigned.	NAVAUDSVC	Jan-10	Feb-11	OTHER
United States Marine Corps Equipment Accountability (N2010-NIA000-0038.000). Objective: To verify that USMC equipment efficiently and effectively managed, and to ensure that units in the field are accurately accounting for the equipment they have been assigned.	NAVAUDSVC	Jan-10	Mar-11	OTHER

PROJECT	AGENCY	START	FINAL	COUNTRY
Quality Assurance Over Maintenance Contracts (F2010-FC2000-0021). Objective: Determine whether Air Force officials established adequate controls for managing selected maintenance contracts. Specifically, determine if Air Force personnel (a) effectively monitored contract performance, (b) properly documented maintenance actions, and (c) accurately tracked aircraft flying hours.	AFAA	Jan-10	Feb-11	AFGHANISTAN, IRAQ
United States Marine Corps Small Arms Accountability (N2010-NMC000-0044.000). Objective: To verify that the USMC's small arms program has adequate controls to ensure that small arms are accounted for and secured	NAVAUDSVC	Feb-10	Apr-11	OTHER
Equipment Maintenance Contracts Awarded by LOGCOM Albany for Selected USMC Equipment (N2009-NAA000-0145.000). Objective: To verify that contracting process and contract administration procedures for spare parts contracts awarded by MARCORLOGCOM Albany are effectively implemented to protect the United States Marine Corps' interest.	NAVAUDSVC	Mar-10	Apr-11	GLOBAL

PROJECT	AGENCY	START	FINAL	COUNTRY
Contractor Logistics Support Contract for Stryker Vehicles with General Dynamics Land Systems (D2011-D000CH-0032.000). The DoD OIG is renouncing the Audit of Material Purchases Made Through Partnership Agreements at Anniston Army Depot (D2010-D000CE-0190.000) to focus the audit on the contractor logistics support contract for Stryker vehicles. Objective: Evaluate the effectiveness of the contractor logistics support sustainment strategy for Stryker vehicles. Specifically, we will review contract funding procedures, contract type, performance metrics, contractor billings, and controls over Government Property that is being managed by the contractor.	DoD IG	Oct-10		CONUS
Equipment Maintenance Contracts Awarded by LOGCOM Barstow for Selected USMC Equipment (N2010-NAA000-0103.000). Objective: to verify that contracting process and contract administration procedures for depot maintenance and spare parts contracts awarded by MARCORLOGCOM Barstow are effectively implemented to protect the United States Marine Corps' interest.	NAVAUDSVC	Oct-10	Jul-11	OTHER
Aviation Contract Maintenance (N2011-NIA000-0037.000). To verify that aviation contract maintenance is effectively performed in accordance with the requirements of the Naval Aviation Maintenance Program.	NAVAUDSVC	Jan-11		OTHER

PROJECT	AGENCY	START	FINAL	COUNTRY
Material Purchases Made Through Partnership Agreements for Depot Maintenance The DoD OIG plans a series of reviews on this subject during FY 2011. Objective: Evaluate material purchases made at depots through partnership agreements with private-sector firms. Further, we will determine whether the partnership agreements in place with Original Equipment Manufacturers are effective in minimizing the cost of direct materials to the depot.	DoD IG	Apr-11	Sep-11	CONUS
Overhaul and Maintenance of Selected Military Equipment at LOGCOM Albany (N2011-NAA000-0089.000). Objective: To verify whether policies and procedures of the DON's in-house overhaul and maintenance of military equipment at LOGCOM Albany are effectively implemented to protect the Navy's interests and to evaluate the controls for billing customers and collecting revenue for Working Capital Fund at LOGCOM Albany. Specifically, we will identify the relevant processes and evaluate the control program for billing customers and collecting the resulting revenue at selected USMC depots.	NAVAUDSVC	Apr-11		CONUS

PROJECT	AGENCY	START	FINAL	COUNTRY
Overhaul and Maintenance of Selected Military Equipment at LOGCOM Barstow (N2011-NAA000-0097.000). To verify whether policies and procedures of the DON's in-house overhaul and maintenance of military equipment at LOGCOM Barstow are effectively implemented to protect the Navy's interests and to evaluate the controls for billing customers and collecting revenue for Working Capital Fund at LOGCOM Barstow. Specifically, we will identify the relevant processes and evaluate the control program for billing customers and collecting the resulting revenue at selected USMC depots.	NAVAUDSVC	Apr-11		CONUS
Peacetime Engine Requirements (F2011-FC2000-0020). Objective: Assess whether Air Force personnel effectively manage peace time engine requirements. Specifically, determine if personnel properly identify and budget peace time engine overhaul and sparing requirements. [No TDY footprint in AOR]	AFAA	Jul-11		CONUS

APPENDIX D. SCOPE AND METHODOLOGY

The Department of Defense Office of the Special Deputy Inspector General for Southwest Asia prepared this plan. We compiled information on the planned and ongoing oversight efforts related to Southwest Asia and surrounding area projects from the following agencies:

- Army Audit Agency
- Air Force Audit Agency
- Naval Audit Service
- Office of the Inspectors General of Departments of Defense and State
- United States Agency for International Development
- Offices of the Special Inspectors General of Iraq and Afghanistan Reconstruction;
- Government Accountability Office
- Defense Contract Audit Agency

This non-audit service report identifies 279 Southwest Asia related products ongoing (167) and planned (112) for FY 2011.

The information for the plan was obtained directly from the contributing organizations. All decisions regarding the selection of projects, status of projects, report timelines, and categorization of reports were made by the contributing organizations. The staff of the Special Deputy Inspector General for Southwest Asia (SDIG-SWA) compiled the report and reviewed for potential duplicative planned projects. If a potential duplicative work was identified, the staff (or the SDIG-SWA) asked each organization to explain why there was no potential duplication. As a result of these discussions, sometimes, the proposing organizations made decisions to either remove one of the planned efforts or to clarify objectives.

After we developed the draft COPSWA, we asked each organization to validate the plan and make adjustments or updates to the draft plan, if necessary. Each organization also reviewed the draft to eliminate duplication.

To articulate the commonalities of the oversight community SWA efforts, the Southwest Asia Joint Planning Group used the following functional areas and subcategories:

Security

Accountability & Maintenance of Equipment – Addresses Government-owned equipment and property used in support of a DoD or other U.S. government activity. This category does not address those matters related to contracting acquisition actions or any contracted maintenance, but does address accountability throughout the complete life cycle. The complete life cycle includes accounting for proper disposal or transfer of equipment or property to a host government.

Physical Security & Personnel Security – Addresses the physical security of military and civilian personnel and their operational bases (permanent, mobile, and temporary). This includes, but is not limited to, reviews of security clearances and identification cards.

Training and Equipping National Security Forces – Addresses the reviews of training and equipping organizations that are critical for stability in sovereign nations (Iraq, Afghanistan, Pakistan, others). It also includes advising, assisting, or mentoring activities. National security forces may include national army/navy/air force/coast guard/other military, the Frontier Corps, national and local police, and special and border police.

Warfare Support – Addresses reviews related to supporting military operations such as planning, logistics and transportation functions, and the results of those military operations. It also would include reviews of such programs as the Wounded Warrior care, both outside the continental United States and upon return to the continental United States, pre- and post-mobilization efforts, training, etc.

Governance, Rule of Law, and Human Rights

Anticorruption – Addresses reviews of programs and projects focused on prevention of improper or unlawful conduct intended to secure a benefit for oneself or another. Particular emphasis is placed on political corruption and bribery.

Government Capacity Building – Addresses programs designed to stabilize communities; foster economic (including loan programs and state-owned enterprise programs) and agricultural growth; and build the capacity of the national, local, and provincial governments such as Rule of Law, oversight, and governance.

Human Rights – Addresses projects focused on the quality of the basic rights and freedoms to which all humans are entitled. Topics may include, but are not limited to, trafficking in persons and sexual harassment.

Refugee Assistance – Addresses oversight of programs designed to provide resettlement and placement, cash and medical assistance, food, employment, and social services to refugees.

Economic and Social Development

Agriculture and Counternarcotics – Addresses reviews related to the management of counternarcotics programs and sustainable, legal agricultural production for both consumption and production in the region and the impact of these programs on economic and social development.

Education and Healthcare – Addresses programs related to education and medical care programs in the region and the impact of these programs on economic and social development and advancement.

Infrastructure – Addresses programs related to acquiring or refurbishing resources such as buildings or infrastructure equipment required for economic and social development and sustainability in the region. This may include public works, such as water and energy grids, as well as educating personnel on proper maintenance and future construction requirements.

Reconstruction – Addresses programs related to the reconstruction or reestablishment of assets, in addition to infrastructure, affected by destabilization and the resulting destruction experienced in the region and the impact of this reconstruction on economic and social development.

Contracting Oversight and Performance

Contract Management: Addresses parts or all of contracting requirements, acquisition strategy, management, and administration. Reviews of contract administration may include a review of the contracting officer representative's assignment, delegation, training, and performance. In general, the review may include contracting oversight and performance, and may refer to the post-award management and oversight of contract actions.

Equipment and Property – Reviews address specific or multiple contracts that may acquire, manage, construct, or maintain equipment or property used in contingency operations.

Funds Control – Addresses oversight work that reviews the effectiveness, quality, and efficiency of controls of government managers that ensure the proper management and accountability of funds disbursed, the proper use of funds, and the proper reporting including accuracy in DoD financial reports.

Services – Addresses oversight of contract actions that generally require a contractor's time and effort to include private security contractors whether for personnel or physical security. These reviews would address the type of contracts used to obtain the service, award fee management, and other controls over the contractor to ensure service was received as expected, the contract was properly written to ensure expectations would be met, and services provided were in the best interest of the Government and the taxpayer.

Crosscutting and Other

We added this category to address reports that did not relate to any of the available categories.

Section 842

Public Law 110-181, "The National Defense Authorization Act for Fiscal Year 2008," Section 842, "Investigation of Waste, Fraud, and Abuse in Wartime Contracts and Contracting Processes in Iraq and Afghanistan," January 28, 2008, requires the Inspector General of the Department of Defense to develop a comprehensive plan for a series of audits of Department of Defense contracts, subcontracts, and task and delivery orders for the logistical support of coalition forces in Iraq and Afghanistan. The Act also requires that the Special Inspector Generals for Iraq Reconstruction and Afghanistan Reconstruction develop a comprehensive plan for a series of audits of Federal agency contracts, subcontracts, and task and delivery orders for the performance of security and reconstruction functions in Iraq and Afghanistan.

Section 852

The April 2009 update also includes the DoD IG, Army Audit Agency, Naval Audit Service, and Air Force Audit Agency planned and ongoing oversight efforts related to Public Law 110-417, “The National Defense Authorization Act for Fiscal Year 2009,” Sec. 852, “Comprehensive Audit of Spare Parts, Purchases, and Depot Overhaul and Maintenance of Equipment For Operations in Iraq and Afghanistan,” October 14, 2008:

SEC. 852. COMPREHENSIVE AUDIT OF SPARE PARTS PURCHASES AND DEPOT OVERHAUL AND MAINTENANCE OF EQUIPMENT FOR OPERATIONS IN IRAQ AND AFGHANISTAN.

(a) **AUDITS REQUIRED.**—The Army Audit Agency, the Navy Audit Service, and the Air Force Audit Agency shall each conduct thorough audits to identify potential waste, fraud, and abuse in the performance of the following:

(1) Department of Defense contracts, subcontracts, and task and delivery orders for—

(A) depot overhaul and maintenance of equipment for the military in Iraq and Afghanistan; and

(B) spare parts for military equipment used in Iraq and Afghanistan; and

(2) Department of Defense in-house overhaul and maintenance of military equipment used in Iraq and Afghanistan.

(b) **COMPREHENSIVE AUDIT PLAN.**—

(1) **PLANS.**—The Army Audit Agency, the Navy Audit Service, and the Air Force Audit Agency shall, in coordination with the Inspector General of the Department of Defense, develop a comprehensive plan for a series of audits to discharge the requirements of subsection (a).

(2) **INCORPORATION INTO REQUIRED AUDIT PLAN.**— The plan developed under paragraph (1) shall be submitted to the Inspector General of the Department of Defense for incorporation into the audit plan required by section 842(b)(1) of the National Defense Authorization Act for Fiscal Year 2008 (Public Law 110-181; 122 Stat. 234; 10 U.S.C. 2302 note).

(c) **INDEPENDENT CONDUCT OF AUDIT FUNCTIONS.**—All audit functions performed under this section, including audit planning and coordination, shall be performed in an independent manner.

(d) **AVAILABILITY OF RESULTS.**—All audit reports resulting from audits under this section shall be made available to the Commission on Wartime Contracting in Iraq and Afghanistan established pursuant to section 841 of the National Defense Authorization Act for Fiscal Year 2008 (122 Stat. 230).

(e) **CONSTRUCTION.**—Nothing in this section shall be construed to require any agency of the Federal Government to duplicate audit work that an agency of the Federal Government has already performed.

Commander's Request

The former Commander, U.S. Central Command proactively engaged the Defense oversight community by requesting focused oversight on asset accountability in the U.S. Central Command Area of Responsibility. In December 2008, the then Commander, U.S. Central Command, requested the DoD Inspector General to lead a planning effort with the Service Audit Agencies and to prepare a comprehensive approach that ensures U.S.-funded assets are properly accounted for and that there is a process for the proper transfer, reset, or disposal of these assets from military units, support staff, and contractors as theater operations evolve.

The Department of Defense Office of the Special Deputy Inspector General for Southwest Asia prepared this plan. If you have questions, contact sdig-swa@dodig.mil.

To request a copy of the plan, contact the Office of Special Deputy Inspector for Southwest Asia by telephone (703) 604-9165 (DSN 664-9165), by fax (703) 604-8932, or by mail:

Office of the Special Deputy Inspector General of Southwest Asia
Room 1000
400 Army Navy Drive
Arlington, VA 22202-4704

The plan is also available on our Web site at <http://www.dodig.mil/>.

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